Discovery of a Novel, Selective Inhibitor of the Kir4.1/5.1 Heterotetramer, Development of a Novel mGlu4 PAM Chemotype, and Progress Towards the Total Synthesis of Thiocladospolide A

Bу

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To everyone who thought I could

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LIST OF ABBREVIATIONS

°C	Degrees	Celsius
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6-OHDA	Oxidopamine

- 7-TM Seven-transmembrane domain
- AC Adenylate cyclase
- Acetyl-CoA Acetyl coenzyme A
- AM Allosteric modulator
- Ar Aryl
- ATP Adenosine triphosphate
- BBB Blood-brain barrier
- BG Basal ganglia
- BHB Brain homogenate binding
- Bn Benzyl
- Boc *tert*-butyloxycarbonyl
- cAMP Cyclic adenosine monophosphate
- CHO Chinese hamster ovary
- CL_{HEP} Predicted hepatic clearance
- CL_{INT} Intrinsic clearance

Cn	Concentration
cLogP	Partition coefficient between n-octanol and water
CNS	Central Nervous System
CRC	Concentration response curve
CRD	Cysteine rich domain
DAG	Diacyl glycerol
DBS	Deep brain stimulation
DCC	N,N'-dicyclohexylcarbodiimide
DCM	Dichloromethane
DIEA	N,N-Diisopropylethylamine
DMF	Dimethylformamide
DMPK	Drug metabolism and pharmacokinetics
DMSO	Dimethylsulfoxide
EC50	Half maximal effective concentration
ESI	Electrospray ionization
Et3N	Triethylamine
Et2O	Diethyl ether
EtOAc	Ethyl acetate

EtOH	Ethanol
F	Bioavailability
FDA	Food and drug administration
fu	Fraction unbound
G	Guanine nucleotide-binding proteins
GABA	γ-aminobutyric acid
GPCR	G protein-coupled receptor
GTP	Guanosine triphosphate
H2	Hydrogen gas
H₂O	Water
HATU	1-[Bis(dimethylamino)methylene]-1 <i>H</i> -1,2,3-triazolo[4,5- <i>b</i>]pyridinium 3-oxid
	hexafluorophosphate
HEK	Human embryonic kidney
HEPES	4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid
HIC	Haloperidol induced catalepsy
HMBT	2-(2'-hydroxy-4'-methoxyphenyl)benzothiazole
HPLC	High-performance liquid chromatography

HTS	High-throughput screening
IC50	Half maximal inhibitory concentration
I.P.	Intraperitoneal
IP3	Inositol 1,4,5-triphosphate
IV	Intravenous
К	Potassium
K _{ir}	Inward-rectifying potassium channel
Kv	Voltage gated potassium channel
K2CO3	Potassium carbonate
K3PO4	Potassium phosphate tribasic
K _p	Brain:plasma partition coefficient
K _{p,uu}	Unbound brain:plasma partition coefficient
L-AP4	L-2-amino-4-phosphonobutyric acid
L-DOPA	Levodopa
LC/MS	Liquid chromatography / Mass spectrometry
LUMO	Lowest unoccupied molecular orbial
Μ	Muscarinic receptor
MeCN	Acetonitrile

MED	Mean	effective	dose
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MeOH Methanol

Mg Magnesium

MgCl₂ Magnesium chloride

- mGlu Metabotropic glutamate
- MgSO₄ Magnesium sulfate
- MPTP 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine
- MRM Multiple reaction monitoring
- MS Molecular sieves
- MW Molecular weight
- N/A Not applicable
- NF No fit
- Na+ Sodium
- NaHCO₃ Sodium bicarbonate
- NAL Neutral allosteric ligand
- NAM Negative allosteric modulator
- NaOH Sodium hydroxide
- *n*-BuLi *n*-butyl lithium

NMR	Nuclear magnetic resonance
PAM	Positive allosteric modulator
Pd/C	Palladium on carbon
Pd₂(dba)₃	Tris(dibenzylideneacetone)dipalladium (0)
PGP	P-glycoprotein
PEG	Polyethylene glycol
PK	Pharmacokinetic
PKA	Protein kinase A
PLC	Phospholipase C
PO	Oral administration
PPB	Plasma protein binding
PSA	Polar Surface Area
PyClU	Chlorodipyrrolidinocarbenium hexafluorophosphate
PyBroP	Bromotripyrrolidinophosphonium hexafluorophosphate
Pyr	Pyridine
Rt	Room temperature
SAR	Structure-activity relationship
SD	Sprague-Dawley

SEM	Standard error of mean
SUR	Sulfonylurea receptor
t _{1/2}	Half life
T3P	Propylphosphonic anhydride
TFA	Trifluoroacetic acid
THF	Tetrahydrofuran
ТΙ	Thallium
TLC	Thin-layer chromatography
TOF	Time of flight
Tol	Toluene
VFD	Venus flytrap domain
VICB	Vanderbilt Institute of Chemical Biology
VUID	Vanderbilt University identification number
Zn	Zinc

CHAPTER I

DISCOVERY AND DEVELOPMENT OF A NOVEL, SELECTIVE INHIBITOR OF THE INWARD RECTIFYING POTASSIUM CHANNEL 4.1/5.1 HETEROTETRAMER

Background and Introduction

Structure and Function of Inward Rectifying Potassium Channels

The voltage-dependent changes that result from the conductance of biologically relevant cations via their channels underlie the electrical signals or action potentials that are essential to all excitable processes, and to life itself.^{1, 2} Potassium (K⁺) channels are the most widely distributed, transmembrane ion channels and mediate the flow of K⁺ ions across the cellular membrane. The superfamily of channels most often set or reset the resting potential of many cell types.³ For example, in excitable cells like neurons or myocytes, the delayed counter flow of K⁺ down its electrochemical gradient by voltage-gated K⁺ channels into the extracellular space shapes the action potential (**Figure 1.1A**).⁴

There are two broad classes of K⁺ channels defined by transmembrane topology: the six-transmembrane-helix voltage-gated (K_v) and the two-transmembrane-helix inward-rectifier (K_{ir}) subtypes (**Figure 1.1B**).³ In contrast to K_v channels, inwardly rectifying K_{ir} channels allow K⁺ to move more easily into the cells than into the extracellular space.⁵ K_{ir} channels derive their name, inwardly-rectifying, from the current-voltage relationship. When the current through the channel is plotted as a function of membrane potential, the inward current, or negative current, is typically much larger than the positive or 'outward' current (**Figure 1.1C**).⁶ This property is referred to as inward rectification, to distinguish it from the classical 'outward' rectification expected of a passive K_v pore in the presence of high internal and low external potassium.⁷ The K_{ir} family members are divided into weak and strong inward rectifiers. Strongly rectifying K_{ir} channels play an important role in controlling resting potential and excitability but contribute little to the action potential itself. In contrast, weak inward rectifiers will both stabilize the resting potential and shorten the action potential.⁷⁻⁹



Figure 1.1: (A) Illustration of how the flow of K⁺ ions across the cellular membrane shapes the action potential. (B) Transmembrane topology of the six-transmembrane-helix voltage-gated (K_v) and the two-transmembrane-helix inward-rectifier (K_{ir}) subtypes. (C) The current-voltage relationship of outward and inward rectifying K⁺ channels (D) Phylogenic tree of the K_{ir} family. All components of this figure were generated using BioRender.

 K_{ir} channels have evolved distinct voltage-independent mechanisms for opening and closing, including gating by G proteins, pH, and ATP.⁸ Seven structurally distinct subfamilies of the K_{ir} family have been identified in mammals with 16 gene members that can be classified into four functional groups: classical K_{ir} channels ($K_{ir}2.X$) are constitutively active, G protein-gated K_{ir} channels ($K_{ir}3.X$) are regulated by G protein-coupled receptors, ATP-sensitive K^+ channels ($K_{ir}6.X$) are tightly linked to cellular metabolism, and K^+ transport channels ($K_{ir}1.X$, $K_{ir}4.X$, $K_{ir}5.X$, and $K_{ir}7.X$) (**Figure 1.1D**).¹⁰

The primary structure of the two transmembrane subunit is insufficient to form a complete ion channel, thus functional K_{ir} channels are made up of four subunits in a tetrameric complex.¹¹ The homology between the K_{ir} subunits allow for the formation of both homo- and heterotetrameric combinations to form a functional channel. Heterotetramerization typically occurs between two members of the same K_{ir} subfamily, for example, K_{ir}2.1 is known to complex with other K_{ir}2.X subfamily members K_{ir}2.2, K_{ir} 2.3, and K_{ir} 2.4.^{12, 13} However, an exception to this rule is the K_{ir}4.1/5.1 channel, which is discussed in detail in subsequent sections. Heterotetrameric assemblies have been shown to have distinct properties, which further broadens the functional range of K_{ir} channels in different cell types.¹⁴

K_{ir} channels are found across several cell types, including macrophages, cardiac and kidney cells, leukocytes, neurons, and endothelial cells.⁸ Gain- or loss-of-function mutations in several K_{ir} family members are associated with human diseases, which positions them to be potentially useful pharmacological targets.¹⁵ For example, inhibitory sulfonylurea receptor (SUR) ligands that block the activity of K_{ATP} channels (comprised of K_{ir}6.2/SUR1 subunits) channels in pancreatic beta cells stimulate insulin secretion

and promote blood glucose homeostasis in people with type II diabetes.¹⁶ However, the dearth of pharmacological tool compounds that are potent and selective enough to modulate specific subtypes of K_{ir} channels has been a critical barrier to progress in this area.¹⁷

K_{ir}4.1 and K_{ir} 4.1/5.1 Channels and Their Pharmacological Relevance

Homotetrameric K_{ir}4.1 and heterotetrameric K_{ir}4.1/5.1 channels are two subtypes of K_{ir} channels thought to hold therapeutic potential for treating neurological and vascular diseases. K_{ir}4.1 forms functional homotetrameric channels on the plasma membrane when expressed in a heterologous *in vitro* expression system and in native tissues.¹⁸ In contrast, K_{ir}5.1 homotetramers have no function but can form functional heteromeric channels with K_{ir}4.1 (or K_{ir}4.2) *in vivo*, whose function differ greatly from the K_{ir}4.1 homotetramer. ^{8, 19}

Homotetrameric K_{ir}4.1 channels are expressed primarily in brain astrocytes and glial cells where they help remove extracellular potassium released from actively firing neurons, in a process called spatial potassium buffering.^{20, 21} Changes in the expression levels of K_{ir}4.1 and associated extracellular potassium levels have been found in models of clinical depression, ischemic stroke, and Huntington's disease, suggesting a mechanistic link between K_{ir}4.1 and these diseases.²²⁻²⁴ K_{ir}4.1 channels have also been implicated in the behavioral and respiratory disturbances in Rett syndrome, although their specific contributions in this multigenic disease is unclear.²⁵ Further, loss-of-function mutations to K_{ir}4.1 (*KCNJ10*) lead to SeSAME/EAST syndrome, which is

characterized by neurological dysfunction (epilepsy, ataxia, and sensorineural deafness) and renal salt wasting.²⁶

Similarly, the K_{ir}4.1/5.1 channel has been detected in various tissues including the kidney and brain. Heteromeric K_{ir}4.1/5.1 channels are the dominant subtype expressed in the renal tubule. Although they appear to be expressed throughout most of the nephron, K_{ir}4.1/5.1 channels play especially important roles in regulating sodium chloride and potassium balance by the distal convoluted kidney (DCT).^{27, 28} In the DCT, K_{ir}4.1/5.1 channels appear to regulate the phosphorylation state and hence activity of the electroneutral sodium-chloride cotransporter, NCC, through a membrane potentialintracellular chloride-WNK kinase-SPAK kinase-dependent pathway.²⁹

As additional studies become available discerning the physiological roles of Kir4.1 and Kir4.1/5.1, the potential therapeutic value of this target has become apparent. For example, the importance of K_{ir}4.1 and K_{ir}4.1/5.1 channels in humans was definitively established with the discovery that loss-of-function mutations in *KCNJ10* cause EAST/SeSAME syndrome.³⁰ It is postulated that small-molecule activators might rescue the function of mutant K_{ir}4.1/5.1 channels in SeSAME/EAST syndrome patients carrying specific mutations in *KCNJ10*. Further, the upregulation of distal nephron sodium chloride reabsorption capacity in the setting of loop diuretic resistance suggests that peripherally restricted K_{ir}4.1/5.1-specific inhibitors might help reduce extracellular fluid volume and edema in patients with congestive heart failure and chronic kidney disease.²⁷ However, fully elucidating these phenomena is inhibited by the lack of potent, selective, small molecule tool compounds for K_{ir}4.1 and K_{ir}4.1/5.1.

Small Molecule Pharmacology of Kir4.1/5.1 Channels

Currently, the molecular pharmacology of both K_{ir}4.1 and K_{ir}4.1/5.1 channels is virtually undeveloped. The only selective inhibitor of homomeric Kir4.1 known to date is VU0134992, disclosed by the Denton lab in 2018.¹⁷ Otherwise, homomeric K_{ir}4.1 channels are known to be inhibited by the selective serotonin reuptake inhibitor fluoxetine, and the tricyclic antidepressants amitriptyline and nortriptyline (**Figure 1.2**).^{31, 32} Unfortunately, these compounds are relatively weak inhibitors (i.e. IC₅₀s in the tens of micromolar) of K_{ir}4.1 and exhibit broad inhibitory activity toward several other members of the K_{ir} channel family, including K_{ir}4.1/5.1.



Figure 1.2: Structures of known K_{ir}4.1 modulators, **1.1-1.3**, and the first K_{ir}4.1/5.1 inhibitor VU0493690, (**1.4**) discovered by a HTS in the Denton lab.

In an effort to identify novel small-molecule modulators selective for $K_{ir}4.1/5.1$, the Denton lab conducted a high-throughput screen (HTS) of nearly 6,900 compounds from the Vanderbilt Institute of Chemical Biology (VICB) chemical library. Both potentiators and inhibitors were identified in the screen, with VU0493690 (**1.4**) being the most potent inhibitor. In $K_{ir}4.1/5.1$ thallium (TI⁺) flux assays developed by the Denton lab, **1.4** dose-dependently inhibited $K_{ir}4.1/5.1$ activity with an IC₅₀ of 3.2 µM (CI: 2.7-3.8 µM), which is approximately 6-fold more potent than fluoxetine (IC₅₀=19.5 µM; CI: 12.131.3 μ M). In gold-standard patch clamp experiments, **1.4** inhibited K_{ir}4.1/5.1 currents at -120 mV with an IC₅₀ of 0.96 μ M (CI: 0.25-1.6 μ M). At concentrations ranging between 0.3 nM to 30 μ M, **1.4** exhibits a greater than 30-fold improvement in selectivity for K_{ir}4.1/5.1 over homotetrameric K_{ir}4.1 channels, K_{ir}1.1, K_{ir}2.1, K_{ir}2.2, K_{ir}2.3, K_{ir}4.2, K_{ir}6.2/SUR1, K_{ir}6.1/SUR2b and K_{ir}7.1 in established TI⁺-flux assays. Thus, **1.4** is the first potent and selective K_{ir}4.1/5.1 channel inhibitor known to date and is an excellent starting point for medicinal chemistry efforts.

Conclusions

Beginning with **1.4**, we will conduct an optimization campaign through traditional medicinal chemistry techniques to improve its inhibitory activity at K_{ir}4.1/5.1, while maintaining its selectivity over the K_{ir}4.1 homotetramer and other subfamily members. Structure-activity relationships (SAR) and drug metabolism and pharmacokinetic (DMPK) studies will guide our medicinal chemistry approaches, as there is no known crystal structure for this family of K⁺ channels. In collaboration with the Denton lab, the activity of lead compounds will be confirmed in manual patch clamp experiments and single channel analysis. The following chapter will summarize our efforts that led to the discovery of a potent and selective *in vitro* tool compound, and the subsequent efforts to address its unfavorable DMPK profile.

Materials and Methods

General Synthetic Methods and Instrumentation

All NMR spectra were recorded on a 400 MHz AMX Bruker NMR spectrometer. ¹H and ¹³C chemical shifts are reported in δ values in ppm downfield with the deuterated solvent as the internal standard. Data are reported as follows: chemical shift, multiplicity (s = singlet, d = doublet, t = triplet, q = quartet, b = broad, m = multiplet), integration, coupling constant (Hz). Low resolution mass spectra were obtained on an Agilent 6120 or 6150 with ESI source. MS parameters were as follows: fragmentor: 70, capillary voltage: 3000 V, nebulizer pressure: 30 psig, drying gas flow: 13 L/min, drying gas temperature: 350 °C. Samples were introduced via an Agilent 1290 UHPLC comprised of a G4220A binary pump, G4226A ALS, G1316C TCC, and G4212A DAD with ULD flow cell. UV absorption was generally observed at 215 nm and 254 nm with a 4 nm bandwidth. Column: Waters Acquity BEH C18, 1.0 x 50 mm, 1.7 um. Gradient conditions: 5% to 95% MECN in H₂O (0.1% TFA) over 1.4 min, hold at 95% MeCN for 0.1 min, 0.5 mL/min, 55 °C. High resolution mass spectra were obtained on an Agilent 6540 UHD Q-TOF with ESI source. MS parameters were as follows: fragmentor: 150, capillary voltage: 3500 V, nebulizer pressure: 60 psig, drying gas flow: 13 L/min, drying gas temperature: 275 °C. Samples were introduced via an Agilent 1200 UHPLC comprised of a G4220A binary pump, G4226A ALS, G1316C TCC, and G4212A DAD with ULD flow cell. UV absorption was observed at 215 nm and 254 nm with a 4 nm bandwidth. Column: Agilent Zorbax Extend C18, 1.8 µm, 2.1 x 50 mm. Gradient conditions: 5% to 95% MECN in H_2O (0.1% formic acid) over 1 min, hold at 95% MECN for 0.1 min, 0.5 mL/min, 40 °C. For compounds that were purified on a Gilson preparative reversed-phase HPLC, the system comprised of a 333 aqueous pump with solvent-selection valve, 334 organic pump, GX-271 or GX-281 liquid hander, two column switching valves, and a 155 UV detector. UV wavelength for fraction collection was user-defined, with absorbance at 254 nm always monitored. Method 1:

Phenomenex Axia-packed Luna C18, 30 x 50 mm, 5 μ m column. Mobile phase: MeCN in H₂O (0.1% TFA). Gradient conditions: 0.75 min equilibration, followed by user defined gradient (starting organic percentage, ending organic percentage, duration), hold at 95% MECN in H₂O (0.1% TFA) for 1 min, 50 mL/min, 23 °C. Method 2: Phenomenex Axia-packed Gemini C18, 50 x 250 mm, 10 μ m column. Mobile phase: MECN in H₂O (0.1% TFA). Gradient conditions: 7 min equilibration, followed by user defined gradient (starting organic percentage, ending organic percentage, duration), hold at 95% MECN in H₂O (0.1% TFA). Gradient conditions: 7 min equilibration, followed by user defined gradient (starting organic percentage, ending organic percentage, duration), hold at 95% MECN in H₂O (0.1% TFA) for 7 min, 120 mL/min, 23 °C. All reagents were purchased from Aldrich Chemical Co. and were used without purification. All final compounds were >98% pure by LCMS (254 nm, 214 nM and ELSD). Following these purification protocols, final compounds were transferred to a barcode vial and diluted to a concentration of 10 μ M using molecular biology grade dimethylsulfoxide (DMSO). These compounds were registered into Dotmatics and assigned a VU identification (VUID) number before being tested in the primary screening assay.

Molecular Biology and Cell Line Construction

Expression plasmids carrying the complementary DNA (cDNA) sequence of human K_{ir}4.1 or K_{ir}5.1 were purchased from Origene Technologies. To ensure that both channel subunits are expressed in transfected cells, the cDNAs were subcloned into different multiple cloning sites of the bicistronic vector pBudCE4.1 (Invitrogen). The hK_{ir}4.1 cDNA was subcloned downstream of the CMV promoter, whereas the hK_{ir}5.1 cDNA was subcloned downstream of the EF-1 α promoter using gene synthesis

methods by GenScript. The correct cDNA sequences were verified using DNA sequencing.

HEK-293T cells were transfected using Lipofectamine LTX reagent according to the manufacturer's protocol and then placed under antibiotic selection using 700 µg/ml zeocin. Single clones were isolated from stably transfected polyclonal cells using limiting dilution methods, expanded, and then screened for robust K_{ir}4.1/5.1-medicated TI⁺ flux using the methods described below. Whole-cell patch clamp analysis was used to confirm that HEK-293-K_{ir}4.1/5.1 cells exhibited robust outwardly barium-inhibitable, pH-sensitive K⁺ currents that are typical of published K_{ir}4.1/5.1 currents. Stably transfected cell lines expressing other Kir channels were generated as described previously (REFS) [OR Stably transfected monoclonal T-Rex-human embryonic kidney 293 (HEK-293) cell lines expressing K_{ir}1.1, K_{ir}2.2, K_{ir}2.3, K_{ir}4.1, K_{ir}4.2, K_{ir}6.2/SUR1, or K_{ir}7.1-M125R from a tetracycline-inducible promoter.

Primary Screening Quantitative Thallium Flux Assay

High-throughput TI⁺ flux fluorescence-based assay was performed essentially as described before (RFE) using HEK-293-K_i4.1/5.1 cells. Briefly, cells were culture overnight in Delbecco's modified Eagle's medium (DMEM) containing 10% FBS at 37°C and 5% CO₂ in 384-well plates. The following day, the cells were incubated with dye-loading assay buffer (Hank's balanced salt solutions, 20 mM HEPES, pH 7.3) containing 0.01% (w/v) Pluronic F-127 (Life Technologies) and 1.2 μ M TI⁺-reporting dye Thallos Gold or "Pluronic" and Brilliant TI⁺ (Ion biosciences, Austin, TX) in ambient conditions for 1 h with 20 μ L/well assay buffer, washing before and after dye using Hanks' balanced

salt solution/20 mM HEPES (assay buffer). Media and buffer exchange were performed on the ELx405 plate washer (BioTek, Winooski, VT). Dye-loaded cells were then transferred to a Panoptic Kinetic Imaging Plate Reader (Wavefront Bioscience, Franklin, TN) to collect live measurements at 1 Hz (480/40 nm excitation and 540/40 nm emission) during simultaneous 384-well pipetting of 10 µM small molecules (0.1% dimethylsulfoxide assay buffer) or control (100 µM fluoxetine). Compounds were incubated for 4 min before the addition of TI⁺ stimulus (125 mM NaHCO₃, 1.8 mM CaSO₄, 1 mM MgSO₄, 5 mM glucose, 1.8 mM Tl₂SO₄, and 10 mM HEPES, pH 7.4). Live measurements were collected 10 sec before the addition of compounds to 2 min after TI⁺ stimulus addition.

For identified hits in the primary screen and structure-activity relationship libraries, compounds were tested at concentrations to obtain 11-point, 3-fold dilution concentration-response curves. Data acquisition and analysis were performed using Waveguide (VU-HTS center) and Microsoft Excel. EC₅₀ values were determined by fitting the Hill equation using variable-slope nonlinear regression analyses performed with GraphPad Prism version 5.01 (GraphPad Software, San Diego, CA).

Whole-cell Patch Clamp Electrophysiology

HEK-293T cells were transfected with wild-type (WT) pBudCE4.1-K_{ir}4.1/5.1 or combinations of pcDNA5-K_{ir}4.1 and pcDNA5-K_{ir}5.1 with pcDNA3.1-EGFP (0.5 mg; transfection marker) using Lipofectamine LTX reagent according to the manufacturer's instructions. The cells were dissociated the following day and plated on poly-L-lysine– coated coverslips and allowed to recover for at least 1 h in a 37 °C 5% CO₂/95% air

incubator before beginning experiments. Patch-clamp experiments were performed essentially as described previously. Briefly, patch electrodes (2-3 MV) were filled with an intracellular solution containing 135 mM KCl, 2 mM MgCl₂, 1 mM EGTA, 10 mM HEPES-free acid, and 2 mM Na₂ATP (Roche Diagnostics, Risch-Rotkreuz, Switzerland), pH 7.3, 275 mOsmol/kg water. The standard bath solution contained 135 mM NaCl, 5 mM KCl, 2 mM CaCl₂, 1 mM MgCl₂, 5 mM glucose, and 10 mM HEPES free acid, pH 7.4. Macroscopic currents were recorded under whole-cell voltage-clamp conditions using an Axopatch 200B Amplifier (Molecular Devices, Sunnyvale, CA). Cells were voltage clamped at a holding potential of -75 mV and stepped every 5 sec to -120 mV for 200 milliseconds before ramping to +120 mV at a rate of 1.2 mV/ms. Data were collected at 5 kHz and filtered at 1 kHz. Data acquisition and analysis were performed using the pClamp 9.2 software suite (Molecular Devices). Pharmacology experiments were terminated by applying 2 mM barium (Ba²⁺) chloride to measure leak current. Cells exhibiting < 90% block by Ba^{2+} were excluded from analysis. The mean current amplitude recorded over five successive steps to -120 mV in cells at a single concentration were expressed as the mean \pm S.D. Statistical analysis was performed using one-way analysis of variance with Bonferroni multiple-comparisons test with statistical significance defined at P < 0.05. IC₅₀ values were determined by fitting the Hill equation to CRCs using variable-slope nonlinear regression analyses. All the analyses were performed with GraphPad Prism version 5.01 (GraphPad Software).

In Vitro DMPK Methods: Intrinsic Clearance in Rat Liver Microsomes

Rat liver microsomes (0.5 mg/mL) and 1 μ M test compound were incubated in 100 mM potassium phosphate pH 7.4 buffer with 3 mM MgCl₂ at 37 °C with constant

shaking. After a 5 min preincubation, the reaction was initiated by addition of NADPH (1 mM). At selected time intervals (0, 3, 7, 15, 25, and 45 min), 50 μ L aliquots were taken and subsequently placed into a 96-well plate containing 150 μ L of cold acetonitrile with internal standard (50 ng/mL carbamazepine). Plates were then centrifuged at 3000 rcf (4 °C) for 10 min, and the supernatant was transferred to a separate 96-well plate and diluted 1:1 with water for LC/MS/MS analysis. The *in vitro* half-life (T_{1/2}, min, Eq. 1), intrinsic clearance (CL_{INT}, mL/min/kg, Eq. 2) and subsequent predicted hepatic clearance (CL_{HEP}, mL/min/kg, Eq. 3) were determined employing the following equations:

(1)
$$T_{1/2} = \frac{Ln(2)}{k}$$

where k represents the slope from linear regression analysis of the natural log percent remaining of test compound as a function of incubation time

(2) $CL_{int} = \frac{0.693}{in \ vitro \ T_{1/2}} \ x \ \frac{mL \ incubation}{mg \ microsomes} \ x \ \frac{45 \ mg \ microsomes}{grams \ liver} \ x \ \frac{45^a \ gram \ liver}{kg \ body \ weight}$

^ascale-up factor that is species specific

(3)
$$CL_{hep} = \frac{Q_h \cdot CL_{int}}{Q_h + CL_{int}}$$

Where Q_h (hepatic blood flow) is species specific

Rat Plasma Protein Binding

The protein binding of each compound was determined in rat or mouse plasma via equilibrium dialysis employing HTDialysis Teflon dialysis chamber and cellulose membranes (MWCO 12-14 K) (HTDialysis LLC, Gales Ferry, CT). Plasma was added to

the 96-well plate containing test compound and mixed thoroughly for a final concentration of 5 μM. Subsequently, 150 μL of the plasma-compound mixture was transferred to the dialysis chamber, with an accompanying 150 μL of phosphate buffer (25 mM, pH 7.4) on the other side of the membrane. The device plate was sealed and incubated for 4 h at 37 °C with shaking. At completion, aliquots from each chamber were diluted 1:1 with either plasma (for the buffer sample) or buffer (for the plasma sample) and transferred to a new 96-well plate, at which time ice-cold acetonitrile containing internal standard (50 ng/mL carbamazepine) (2 volumes) was added to extract the matrices. The plate was centrifuged (3000 rcf, 10 min) and supernatants transferred and diluted 1:1 (supernatant: water) into a new 96 well plate, which was then sealed in preparation for LC/MS/MS analysis. Each compound was assayed in triplicate within the same 96-well plate. Fraction unbound was determined using the following equation:

$$F_u = \frac{Conc_{buffer}}{Conc_{plasma}}$$

Rat Brain Homogenate Binding

The brain homogenate binding of each compound was determined in brain homogenate via equilibrium dialysis employing HTDialysis Teflon dialysis chamber and cellulose membranes (MWCO 12-14 K) (HTDialysis LLC, Gales Ferry, CT). Brain tissue homogenate was prepared by diluting one volume whole mouse or rat brain tissue with one to three volumes (species specific) of phosphate buffer (25 mM, pH 7.4). The mixture was then subjected to mechanical homogenization employing a MiniBeadbeater[™] and 1.0 mm Zirconia/Silica Beads (BioSpec Products). Brain homogenate spiked with test compound and mixed thoroughly for a final concentration of 5 µM. Subsequently, 150 µL of the brain homogenate-compound mixture was transferred to the dialysis chamber with an accompanying 150 µL of phosphate buffer (25 mM, pH 7.4) on the other side of the membrane. The block was sealed and incubated for 6 h at 37 °C with shaking. At completion, aliquots from each side of the chamber were diluted 1:1 with either brain homogenate (to the buffer side) or buffer (to the brain homogenate side) in a new 96 well plate, at which time ice-cold acetonitrile containing internal standard (50 ng/mL carbamazepine) was added to extract the matrices. The plate was centrifuged (3000 rcf, 10 min) and supernatants transferred and diluted 1:1 (supernatant: water) into a new 96 well plate, which was then sealed in preparation for LC/MS/MS analysis. Each compound was assayed in triplicate within the same 96-well plate. Fraction unbound was determined using the following equation:

$$F_{u,tissue} = \frac{1/D_f}{\left(\frac{1}{F_{u,hom}} - 1\right) + 1/D_f}$$

Where $F_{u,hom}$ represent the measured fraction unbound in the diluted homogenate and Df represents dilution factor.

LC/MS/MS Analysis of Samples from In Vitro Assays

Samples were analyzed via electrospray ionization (ESI) on an AB Sciex API4000 (Foster City, CA) triple-quadrupole instrument that was coupled with Shimadzu LC-10AD pumps (Columbia, MD) and a Leap Technologies CTC PAL auto-sampler (Carrboro, NC). Analytes were separated by gradient elution using a Fortis C18 3.0 x 50 mm, 3 µm column (Fortis Technologies Ltd, Cheshire, UK) thermostated at 40 °C. HPLC mobile phase A was 0.1% formic acid in water (pH unadjusted), mobile phase B was 0.1% formic acid in acetonitrile (pH unadjusted). The gradient started at 10% B after a 0.2 min hold and was linearly increased to 90% B over 1.2 min; held at 90% B for 0.1 min and returned to 10% B in 0.1 min followed by a re-equilibration (0.9 min). The total run time was 2.5 min and the HPLC flow rate was 0.5 mL/min. The source temperature was set at 500 °C and mass spectral analyses were performed using multiple reaction monitoring (MRM), with transitions specific for each compound utilizing a Turbo-lonspray® source in positive ionization mode (5.0 kV spray voltage).

In Vivo PK Methods

All rodent PK experiments were conducted in accordance with the National Institute of Health regulations of animal care covered in Principles of Laboratory Animal Care (National Institutes of Health publication 85-23, revised 1985) and were approved by the Institutional Animal Care and Use Committee.

Single Time Point Tissue Distribution Studies

IV cassette PK experiments in rats were carried out according to methods described previously. Briefly, a cassette of compounds (n = 4–5/cassette) were formulated from 10 mM solutions of compounds in DMSO. In order to reduce the absolute volume of DMSO that was administered, the compounds were combined and diluted with ethanol and PEG 400 to achieve a final concentration of 0.4–0.5 mg/mL for each compound (2 mg/mL total) administered in each cassette. The final dosing solutions consisted of approximately 10% ethanol, 40% PEG400, and 50% DMSO (v/v). For time course PK studies, each cassette dose was administered IV via the jugular

vein to two dual-cannulated (carotid artery and jugular vein) adult male Sprague– Dawley rats, each weighing between 250 and 350 g (Harlan, Indianapolis, IN) for a final dose of 0.2–0.25 mg/kg per compound. Whole blood collections via the carotid artery were performed at 0.033, 0.117, 0.25, 0.5, 1, 2, 4, 7, and 24 h post dose and plasma samples prepared for bioanalysis. For single time point tissue distribution studies, compounds were formulated as described above (in cassette format) and dosed to male Sprague-Dawley rats for a final dose of 0.2-0.25 mg/kg per compound. Brain dissection and blood collections via the carotid artery were performed 0.25 hr post dose. The brain samples were rinsed in PBS, snap frozen and stored at -80 °C. Prior to LC/MS/MS analysis, brain samples were thawed to room temperature and subjected to mechanical homogenation employing a Mini-Beadbeater[™] and 1.0 mm Zirconia/Silica Beads (BioSpec Products).

LC/MS/MS Bioanalysis of Samples from In Vivo Assays

In vivo samples were analyzed via electrospray ionization (ESI) on an AB Sciex API-4000 (Foster City, CA) triple-quadrupole instrument that was coupled with Shimadzu LC-10AD pumps (Columbia, MD) and a Leap Technologies CTC PAL autosampler (Carrboro, NC). Analytes were separated by gradient elution using a Fortis C18 3.0 x 50 mm, 3 µm column (Fortis Technologies Ltd, Cheshire, UK) thermostated at 40 °C. HPLC mobile phase A was 0.1% formic acid in water (pH unadjusted), mobile phase B was 0.1% formic acid in acetonitrile (pH unadjusted). The source temperature was set at 500 °C and mass spectral analyses were performed using multiple reaction monitoring (MRM), with transitions specific for each compound utilizing a Turbolonspray® source in positive ionization mode (5.0 kV spray voltage). The
calibration curves were constructed, and linear response was obtained by spiking known amounts of test compound in blank brain homogenate or plasma. All data were analyzed using AB Sciex Analyst software v1.5.1. The final PK parameters were calculated by noncompartmental analysis using Phoenix (version 6.2) (Pharsight Inc., Mountain View, CA).

Development of an Improved *In Vitro* Tool Compound, VU0493690, For Inhibition of the Heterotetrameric Kir4.1/5.1 Receptor

Medicinal Chemistry Strategy for the Exploration of VU0493690 (1.4)

VU0493690 (**1.4**) was an attractive start for our medicinal chemistry campaign due to its selectivity for K_{ir}4.1/5.1 over other K_{ir} channels, including the hERG channel. With the lack of a crystal structure and homology model, we hypothesized that through methodical derivatization of the molecule, we could drive down potency. The initial optimization plan for **1.4** is depicted in **Figure 1.3**, and generated analogs were screened the TI⁺-flux assay developed by the Denton lab to determine SAR. Iterative, parallel syntheses were employed to diversify three regions of the molecule.



Figure 1.3: Medicinal chemistry strategy for the exploration of VU0493690

Modification of the Eastern Aryl Sulfonamide: Analogs 1.8-1.21

Initial SAR efforts began with the eastern aryl sulfonamide moiety of **1.4** in an effort to define its role in binding K_{ir}4.1/5.1. The arene ring lacks an obvious HBD or HBA and suggests that it contributes to binding via a pi-stacking interaction or by occupying a lipophilic pocket. A compound set was designed using electron withdrawing and electron donating groups with different aromatic substitution patterns that would create small perturbations capable of elucidating a pi-stacking relationship. Additionally, other aromatic heterocyclic ring systems were included within this SAR library under the assertion that they would be inactive should there be a lipophilic binding pocket within the binding site.



Scheme 1.1: (a) Pd₂(dba)₃, XPhos, Na₂CO₃, toluene, 100 °C, 30 min, microwave irradiation, 36% (b) 4N HCl in dioxanes, 0 °C to rt, 30 min, quantitative yield (c) *tert*-butyl (2-oxoethyl)carbamate, NaBH₃CN, AcOH, MeOH, rt, 12 h, 78% (d) 4N HCl in dioxanes, 0 °C to rt, 30 min, quantitative yield (e) RSO₂Cl, DIPEA, DCM, 0 °C to rt, 8 h, 56-87%.

Analogs of this nature were rapidly synthesized from readily available starting materials in a five-step sequence outlined in **Scheme 1.1** that prioritized late-stage diversification. Commercial building blocks 1-Boc-piperazine and 1-bromo-2-methoxybenzene were coupled together using Buchwald-Hartwig conditions to access **1.5** in low but acceptable yields. Acid-catalyzed deprotection of **1.5** gave the desired *N*-arylpiperazine, **1.6**, in quantitative yields. Reductive amination conditions were then utilized to couple **1.6** with a Boc-protected 2-aminoacetaldehyde, followed by a Boc-deprotection using acidic conditions to yield **1.7** in excellent yields. The resulting primary amine was carried forward crude as the hydrochloride salt, then coupled with commercially available sulfonyl chlorides to yield final compounds **1.8-1.21**. **Table 1.1** details the activities of this compound library.



Table 1.1: Structures of aryl sulfonamide analogs of VU0493690 (**1.4**) for the initial analysis of potency. Associated potency and efficacy data from 11-point, 3-fold dilution, CRC-format screen at HEK-293-K_{ir}4.1/5.1 cells. TI⁺ flux responses for each compound are reported as an IC₅₀ value, determined by fitting the Hill equation to CRCs using variable-slope nonlinear regression analyses. Values listed as NF indicate no line fit. VUID denotes the compound identifier assigned by Vanderbilt University. Data represent the mean of at least 3 replicate experiments with similar results.

R	VUID	Compound Number	K _{ir} 4.1/5.1 IC ₅₀ (μΜ)
F	VU0493690	1.4	4.83
CI	VU6032282	1.8	7.91
F	VU6032283	1.9	16.9
	VU6032285	1.10	4.26
	VU6032286	1.11	4.28

CI F	VU0909814	1.12	3.56
F	VU6032284	1.13	9.33
CF ₃	VU0909816	1.14	7.36
F	VU0909815	1.15	11.2
F F	VU6036357	1.16	4.7
	VU6036364	1.17	NF
K N NH	VU6032288	1.18	NF
	VU0909813	1.19	NF
NH	VU6032289	1.20	NF



The introduction of apparent electron withdrawing groups to the 3- or 4-position of the arene ring (**1.8**, **1.9**, **1.13**, **1.14**) resulted in a loss of potency, while more donating groups (**1.10**, **1.11**) maintained the activity of **1.4**. Various 5- and 6-membered heterocycles (analogs **1.18-1.21**) were completely inactive. The only improvement in potency was with di-halide **1.12**, with a reported IC_{50} of 3.56 µM in the TI⁺-flux assay. From the SAR, it is impossible to deduce if the modest improvement seen with **1.4** was a result of further engaging in a pi-stacking relationship, or by maintaining the lipophilicity. Regardless, **1.12** was carried forward for further derivatization.

Exploration of the Central Piperazine Core: Analogs 1.24-1.29

With the optimized eastern aryl sulfonamide of **1.12**, a series of compounds with piperazine bioisosteres and derivatives were designed to elucidate the role of the central piperazine core in binding (**Table 1.2**). Piperazines and their derivatives are a commonly encountered motif in medicinal chemistry. While they maybe be responsible for engaging in meaningful interactions with their target protein, they are most often an important group in modulating favorable physiochemical properties.³³ Thus, we sought to explore if this chemical space could be better occupied to improve activity at $K_{ir}4.1/5.1$.



Scheme 1.2: (a) $Pd_2(dba)_3$, XPhos, Na_2CO_3 , toluene, 100 °C, 30 min, microwave irradiation, (b) 4N HCl in dioxanes, 0 °C to rt, 30 min, (c) 2-bromoethan-1-amine hydro bromide, DIPEA, DCM, 0 °C to rt, 8 h, (d) K_2CO_3 , Nal, DCM, 80 °C, 8 h.

These compounds were obtained by following the synthetic route outlined in **Scheme 1.2** which produced the desired library quickly and in acceptable yields. Commercial building blocks 1-bromo-2-methoxybenzene and the desired *N*-Boc protected piperazine were coupled together using Buchwald-Hartwig conditions followed by an acid-catalyzed deprotection gave the desired *N*-arylpiperazine, **1.22**, in low yields. **1.22** was carried forward as the hydrochloride salt and subjected to S_N2 coupling conditions with alkyl bromide **1.23**, to yield compounds **1.24-1.29**. The results of this SAR campaign are summarized in Table **1.2**.



Table 1.2: Structures of piperazine-replacement analogs of **1.12** for the initial analysis of potency. Associated potency and efficacy data from 11-point, 3-fold dilution, CRC-format screen at HEK-293-K_{ir}4.1/5.1 cells. TI⁺ flux responses for each compound are reported as an IC₅₀ value, determined by fitting the Hill equation to CRCs using variable-slope nonlinear regression analyses. Values listed as NF indicate no line fit. VUID denotes the compound identifier assigned by Vanderbilt University. Data represent the mean of at least 3 replicate experiments with similar results.

R	VUID	Compound Number	K _{ir} 4.1/5.1 IC₅₀ (μM)
	VU6035884	1.24	17.3
K N X	VU6035886	1.25	NF
	VU6035892	1.26	8.92
	VU6035894	1.27	5.00



No enhancements to potency were achieved with this set of compounds.

Spirocycle **1.24**, fused bicycle **1.25**, and bridged (**1.28**, **1.29**) analogues were weakly or totally inactive. Both enantiomers of 2-methylpiperazine were tolerated, with preference for the (R) enantiomer **1.27**, but were not an improvement upon **1.12**.

Modification of the Western Aryl Ring: Analogs 1.31-1.51



Scheme 1.3: (a) Pd₂(dba)₃, XPhos, Na₂CO₃, toluene, 100 °C, 30 min, microwave irradiation, (b) 4N HCl in dioxanes, 0 °C to rt, 30 min, (c) 2-bromoethan-1-amine hydro bromide, DIPEA, DCM, 0 °C to rt, 8 h, (d) K₂CO₃, Nal, DCM, 80 °C, 8 h.

Similar to **Scheme 1.2**, the desired compound library was produced quickly and in acceptable yields following the route outlines in **Scheme 1.3**. Commercial building blocks 1-boc-piperazine and the desired aryl halide were coupled together using

Buchwald-Hartwig conditions, followed by an acid-catalyzed deprotection which gave the desired *N*-arylpiperazine, **1.30**, in low but workable yields. **1.30** was carried forward as the hydrochloride salt and subjected to $S_N 2$ coupling conditions with alkylbromide **1.23** to produce compounds **1.31-1.43**.



Table 1.3: Analogs **1.31-1.43** for the initial analysis of potency. Associated potency and efficacy data from 11-point, 3-fold dilution, CRC-format screen at HEK-293- K_{ir} 4.1/5.1 cells. TI⁺ flux responses for each compound are reported as an IC50 value, determined by fitting the Hill equation to CRCs using variable-slope nonlinear regression analyses. Values listed as NF indicate no line fit. VUID denotes the compound identifier assigned by Vanderbilt University. Data represent the mean of at least 3 replicate experiments with similar results.

R	VUID	Compound Number	K _{ir} 4.1/5.1 IC ₅₀ (μΜ)
OH	VU6035860	1.31	NF
CN	VU6035866	1.32	2.63
CI	VU6035867	1.33	11.9

F	VU6032285	1.34	4.26
CI	VU6035897	1.35	NF
O F	VU6036365	1.36	NF
CI	VU6035862	1.37	NF
F	VU6035864	1.38	22.2
	VU6035863	1.39	48.8
CN	VU6035895	1.40	NF
OCF3	VU6035896	1.41	16.2
∧_N_	VU6036356	1.42	12.6

K ↓ N	VU6035899	1.43	NF
F F	VU6035865	1.44	9.43

Replacement of the 2-methoxy proved to be detrimental for activity, except for compound **1.32**, in which the 2-nitrile showed an improved IC_{50} of 2.63 µM in the TI⁺-flux assay, a 2-fold improvement over **1.4**. Substitution at the 3- and 4-position of the arene ring reduced potency by 3-fold or more as seen with analogues **1.31-1.41**. Additionally, pyridinyl analogues (**1.42**, **1.43**) were inactive. It was then hypothesized that both the 2-methoxy and 2-nitrile of **1.4** and **1.32**, respectively, were acting has hydrogen bonding acceptors. We then conducted methyl and halide walks around the arene ring while maintaining the 2-nitrile in an attempt to further strengthen this interaction. Unfortunately, compounds **1.45-1.51** were weakly active or completely inactive and are shown below in Table **1.4**. Thus, we carried forward with **1.32** as our lead compound.



Table 1.4: Structures of analogs of **1.32** for the initial analysis of potency. Associated potency and efficacy data from 11-point, 3-fold dilution, CRC-format screen at HEK-293-K_{ir}4.1/5.1 cells. TI⁺ flux responses for each compound are reported as an IC₅₀ value, determined by fitting the Hill equation to CRCs using variable-slope nonlinear regression analyses. Values listed as NF indicate no line fit. VUID denotes the compound identifier assigned by Vanderbilt University. Data represent the mean of at least 3 replicate experiments with similar results.

R	VUID	Compound Number	K _{ir} 4.1/5.1 IC ₅₀ (μM)
CN	VU6036730	1.45	NF
CN	VU6036729	1.46	7.79
CN	VU6036731	1.47	14.4
CN	VU6035899	1.48	NF
CN F	VU6036758	1.49	6.81



Re-investigation of the Central Piperazine: Analogs 1.52-1.57

With the significant improvement seen with **1.32**, we moved to re-investigate the role of the piperazine core in binding K_{ir}4.1/5.1. Following the same synthetic route outlined **Scheme 1.2**, compounds **1.52-1.57** were synthesized rapidly and screened for inhibitory activity against K_{ir}4.1/5.1. Similar to previous attempts, spirocycle **1.52** and fused bicycle **1.53**, were weakly or completely inactive. Enantiopure 2- and 3-methyl piperazine analogues **1.54-1.57** provided important insight to the observed SAR trends.



Table 1.5: Structures of piperazine bioisostere analogs of **1.32** for the initial analysis of potency. Associated potency and efficacy data from 11-point, 3-fold dilution, CRC-format screen at HEK-293-K_{ir}4.1/5.1 cells. TI⁺ flux responses for each compound are reported as an IC₅₀ value, determined by fitting the Hill equation to CRCs using variable-slope nonlinear regression analyses. VUID denotes the compound identifier assigned by Vanderbilt University. Values listed as NF indicate no line fit. Data represent the mean of at least 3 replicate experiments with similar results.

R	VUID	Compound Number	K _{ir} 4.1/5.1 IC ₅₀ (μM)
	VU6036759	1.52	NF
KN X	VU6036757	1.53	10.6
	VU6036721	1.54	NF
	VU6036720	1.55	0.735



As seen in Table 1.5, the 2-(R)-methylpiperazine analog, 1.55, resulted in a 3.5fold improvement over 1.32, while the other 2- and 3-methyl piperazine analogues 1.54, **1.56**, and **1.57** were devoid of activity. We attempted to rationalize the observed consequences of introducing axial or equatorial methyl groups at the 2- and 3- position of the piperazine core, illustrated in Figure 1.4. With compounds 1.56 and 1.57, we hypothesized that an axial or equatorial methyl group at the 3-position introduced steric clash and reoriented the eastern aromatic ring within the binding pocket. Such conformational constrain might prevent the 2-benzonitrile from engaging in its proposed hydrogen-bonding interaction, and result in the observed loss of potency. In contrast, an axial methyl at the 2-position of the piperazine core (1.55) was advantageous. It was then hypothesized, that this introduction of strain forces the molecule to exist predominantly in its bioactive conformation. Reducing the conformational flexibility of the ligand would decrease to entropic cost of ligand binding and result in increased potency, as observed with **1.55**.³⁴ Thus, we moved to design additional derivatives of **1.32** and **1.4** with conformational constrain to further explore our hypothesis.

Exploration Conformational Constrain: Analogs 1.58-1.61

A set of four compounds were designed with the intent of introducing conformational constrain. These compounds and their activities are shown in Table **1.6**. Analogs **1.59-1.61** were attempts to reduce the flexibility of the ethylene linker of **1.32**. Interestingly, *N*-methylation of the sulfonamide resulted in a four-fold loss of potency as seen with **1.59**. It is difficult to discern if this loss of activity is due to rigidification, or if the sulfonamide acts as a hydrogen bond donor. While racemic **1.60** and **1.61** were not as potent as **1.55**, they maintained potency and enantiopure derivatives may be as or more potent than that of the lead, **1.32**.

Structure	VUID	Cpd Num	K _{ir} 4.1/5.1 IC₅₀ (μM)
	VU6036734	1.59	11.1
	VU6036735	1.60	1.3
	VU6036736	1.61	4.2



Table 1.6: Conformationally constrained analogs of **1.32** and **1.4** for the initial analysis of potency. Associated potency and efficacy data from 11-point, 3-fold dilution, CRC-format screen at HEK-293-K_{ir}4.1/5.1 cells. TI⁺ flux responses for each compound are reported as an IC₅₀ value, determined by fitting the Hill equation to CRCs using variable-slope nonlinear regression analyses. Values listed as NF indicate no line fit. VUID denotes the compound identifier assigned by Vanderbilt University. Data represent the mean of at least 3 replicate experiments with similar results.



Scheme 1.4: (a) K₂CO₃, Nal, DCM, 80 °C, 8 h.

Compound **1.62** was envisioned to be a tied-back version of **1.12**, which would lock the eastern arene ring into place. It was hypothesized that removing the flexibility associated with the methyl ether might allow it to better engage in its hydrogen bonding interaction. Jacob Kalbfleisch kindly donated tricycle **1.58** from the mGlu₇ campaign, to access **1.62** (Scheme **1.4**). Unfortunately, **1.62** was 2-fold less active than **1.12**.



Figure 1.4: Manual patch clamp studies illustrating the improvement of VU690 (1.4) with VU720 (1.55).

The activity of **1.55** was confirmed in manual patch clamp experiments, in which it inhibited K_{ir}4.1/5.1 currents at -120 mV with an IC₅₀ of 179 nM. This is a significant improvement in activity over the original hit compound **1.4** (**Figure 1.5**). Compound **1.55** also appears to be 'drug-like,' considering its low molecular weight (MW <500), acceptable cLogP, and a total polar surface area similar to that of CNS drugs on the market (cLogP of 3.4, tPSA of 75, **Table 1.7**).³⁵ Due to the potential utility of a selective K_{ir}4.1/5.1 inhibitor, lead compound **1.55** was tested for its DMPK properties to determine if this scaffold could potentially serve as an *in vivo* tool compound.

Property	1.55
MW	436.9
cLogP	3.4
tPSA	76.4
Pharmacokinetic parameters	
CL _{INT} (mL/min/kg), rat	5984
CL _{HEP} (mL/min/kg), rat	69.2
CL _{HEP (fu)p} (mL/min/kg), rat	25.1
CL _{INT} (mL/min/kg), human	344
CL _{HEP} (mL/min/kg), human	19.8
PPB (f _u), rat	0.009
PPB (f _u), human	0.008
BHB (f _u), rat	0.007
Tissue distribution	
C _n plasma (ng/mL)	17.1
C _n brain (ng/mL)	49.1
K _p , brain	2.87
K _{p,uu} brain	2.23

Table 1.7: In vitro and in vivo DMPK profiles of 1.55.

Unfortunately, **1.55** suffers from high hepatic clearance with a CL_{HEP} of 69.2 mL/min/kg in rats. The free unbound fraction of **1.55** is also unattractive with <1% free fraction; however, this provides a more acceptable CL_{HEP} (fu)p of 25.1 (mL/min/kg) when corrected for the unbound fraction. When considering fraction unbound data with the plasma/brain ratio (K_p), the unbound partition coefficient (K_{p,uu}) is 2.23; indicating influx of **1.55** at the blood-brain barrier (BBB). While there is significant room for improvement,

1.55 could be considered for *in vivo* studies considering its novel action, potency, brain penetrance, and the selectivity of the scaffold.

Summary and Future Directions

To summarize, an SAR campaign was conducted with VU0493690 (1.4) where we efficiently improved inhibitory activity at K_{ir}4.1/5.1 greater than 5-fold in both fluorescence and electrophysiology-based assays. The lead compound, 1.55, stands as the first inhibitor of the Kir4.1/5.1 heterotetrameric channel. Unfortunately, DMPK studies concluded that **1.55** is highly cleared, a major liability with this scaffold. However, considering the novel action of the scaffold, 1.55 could be co-administered with 1aminobenzotriazole (ABT) to inhibit CYP metabolism in animal models to get initial, proof of concept studies. At first glance, it is tempting to associate the observed hepatic clearance due to the metabolically labile sulfonamide in 1.55. It is also possible that the western aryl ring undergoes a CYP-mediated oxidation. Medicinal chemistry efforts are needed to address these issues. Further, while the selectivity for $K_{ir}4.1/5.1$ over other K_{ir} channels and the hERG channel has been confirmed for this scaffold with 1.4, 1.55 should be subjected to the same scrutiny. Fortunately, compound **1.55** is brain penetrant with a $K_{p,uu}$ of 2.23, and *in vivo* studies are underway with **1.55** to explore the integrative physiology and therapeutic potential of $K_{ir}4.1/5.1$ channels in diseases associated with channel dysfunction.

Experimental Methods

General Synthetic Methods and Instrumentation

Unless otherwise stated, all reactions were conducted in flame-dried or ovendried glassware under inert atmospheres of argon. All commercially available reagents and reaction solvents were used as received, unless otherwise noted. Thin layer chromatography (TLC) was performed on glass-backed silica of 250 μ m thickness. Visualization was accomplished with UV light and/or the use of bromocresol green stain. Chromatography on silica gel was performed using Teledyne ISCO pre-packed silica gel columns using gradients of EtOAc/hexanes. ¹H and ¹³C chemical shifts are reported in δ values in ppm downfield with the deuterated solvent as the internal standard. Data are reported as follows: chemical shift, multiplicity (s = singlet, d = doublet, t = triplet, q = quartet, b = broad, m = multiplet), integration, coupling constant (Hz). Low resolution mass spectra were obtained on an Agilent 6120 or 6150 with ESI source. MS parameters were as follows: fragmentor: 70, capillary voltage: 3000 V, nebulizer pressure: 30 psig, drying gas flow: 13 L/min, drying gas temperature: 350 °C. Samples were introduced via an Agilent 1290 UHPLC comprised of a G4220A binary pump, G4226A ALS, G1316C TCC, and G4212A DAD with ULD flow cell. UV absorption was generally observed at 215 nm and 254 nm with a 4 nm bandwidth. Column: Waters Acquity BEH C18, 1.0 x 50 mm, 1.7 µm. Gradient conditions: 5% to 95% MECN in H2O (0.1% TFA) over 1.4 min, hold at 95% MECN for 0.1 min, 0.5 mL/min, 55 °C. All reagents were purchased from Aldrich Chemical Co. and were used without purification. Sure-Seal solvents were purchased from Sigma Aldrich.



N-(2-bromoethyl)-3-chloro-4-fluorobenzenesulfonamide (1.23): A mixture of 2bromoethan-1-amine hydrobromide (2.5 mmol), triethylamine (3.0 mmol), DMAP (0.25 mmol) and 3-chloro-4-fluorobenzenesulfonyl chloride (2.75 mmol) was stirred in DCM for 16 h at rt. The crude product was purified by column chromatography (10 – 40% EtOAc/hexanes) to provide the title compound as a white solid (125 mg, 63%). ¹H NMR (400 MHz, CDCl₃) δ 7.90 (dd, J = 6.7, 2.3 Hz, 1H), 7.72 (ddd, J = 8.7, 4.3, 2.3 Hz, 1H), 7.26 – 7.15 (m, 1H), 3.35 (q, J = 4.4, 3.9 Hz, 4H). ¹³C NMR (101 MHz, CDCl₃) δ 160.66 (d, $J_{CF} = 257.7$ Hz), 136.94 (d, $J_{CF} = 4.1$ Hz), 127.42 (d, $J_{CF} = 8.5$ Hz), 122.53 (d, $J_{CF} = 18.8$ Hz), 117.51 (d, $J_{CF} = 22.4$ Hz), 44.51, 31.33. LC-MS [m/z+H] = 315.8.

General Synthesis of *N***-aryl Piperazines**: In oven dried Biotage microwave vial, arylhalide (1.1 equiv.), *N*-Boc-protected piperazine (1 equiv.), Pd₂(dba)₃ (0.1 equiv.), XPhos (0.25 equiv.), (CH₃)₃CONa (4 equiv.), were dissolved in toluene (0.2 M) under an atomosphere of argon. The reaction was subjected to 30 min of microwave irradiation at 120 °C. The reaction was then diluted with DCM and washed with sat. NH₄Cl. The organic layer was washed with sat. NaHCO₃ and brine, dried over Na₂SO₄, filtered, and concentrated. The crude product was purified by column chromatography (EtOAc/hexanes gradient) to provide the pure Boc-protected *N*-aryl Piperazine. The Boc-protected amine was taken up in a commercially available 4N HCl in Dioxanes solution at rt and stirred for 2 h. The reaction mixture was concentrated under reduced pressure and triturated with diethyl ether to yield the desired *N*-aryl piperazine as the hydrochloride salt.



Synthesis of *tert*-butyl 4-(2-methoxyphenyl)piperazine-1-carboxylate (1.5).

Following the general procedure, crude **1.5** was purified by column chromatography (10 – 40% EtOAc/hexanes) to provide the title compound as a clear oil (125 mg, 43%). ¹H NMR (400 MHz, CDCl₃) δ 7.05 (t, J = 8.5 Hz, 1H), 6.98 – 6.87 (m, 3H), 3.89 (s, 3H), 3.64 (t, J = 5.1 Hz, 4H), 3.04 (d, J = 5.7 Hz, 4H), 1.50 (s, 9H). ¹³C NMR (101 MHz, CDCl₃) δ 154.83, 152.27, 121.06, 111.33, 79.74, 55.42, 50.74, 28.46, 28.44. LC-MS [m/z+H] = 293.3.



Synthesis of 1-(2-methoxyphenyl)piperazine (1.6).

Following the general procedure, 1-(2-methoxyphenyl)piperazine was isolated as an off white solid (15 mg, quantitative yield). **LC-MS [m/z+H]** = 193.1.



Synthesis of *tert*-butyl (*R*)-4-(2-methoxyphenyl)-2-methylpiperazine-1-carboxylate.

Following the general procedure, crude reaction material was purified by column chromatography (10 – 40% ethyl acetate in hexanes) to provide the title compound as a clear oil (35 mg, 23%). ¹H NMR (400 MHz, CDCl₃) δ 7.20 – 6.57 (m, 4H), 4.28 (s, 1H), 3.92 (d, *J* = 14.0 Hz, 1H), 3.83 (d, *J* = 2.3 Hz, 3H), 3.31 (d, *J* = 11.8 Hz, 2H), 1.42 (dt, *J* = 6.5, 3.2 Hz, 9H). ¹³C NMR (101 MHz, CDCl₃) δ 154.74, 152.37, 132.54, 133.77, 121.33, 112.06, 106.36, 79.78, 55.97, 55.67, 50.42, 49.62, 38.89, 28.44, 15.94. [m/z+H] = 307.2.



Synthesis of (*R*)-1-(2-methoxyphenyl)-3-methylpiperazine (1.22).

Following the general procedure, (*R*)-1-(2-methoxyphenyl)-3-methylpiperazine was isolated as an off white solid (15 mg, quantitative yield). [m/z+H] = 207.3.



Synthesis of *tert*-butyl 4-(2-cyanophenyl)piperazine-1-carboxylate. Following the general procedure, crude reaction mixture was purified by column chromatography (10

- 40% ethyl acetate in hexanes) to provide the title compound as a clear oil (105 mg, 33%). ¹H NMR (400 MHz, CDCl₃) δ 7.62 (dt, J = 7.7, 1.6 Hz, 1H), 7.54 (ddq, J = 9.6, 7.5, 1.2 Hz, 1H), 3.70 (tt, J = 3.7, 2.0 Hz, 4H), 3.28 – 3.14 (m, 4H), 1.51 (s, 9H). ¹³C NMR (101 MHz, CDCl₃) δ 154.60, 134.42, 133.89, 122.60, 119.17, 117.94, 106.37, 80.05, 51.62, 43.52, 28.33. LC-MS [m/z+H] = 287.4.



2-(piperazin-1-yl)benzonitrile (1.30).

Following the general procedure, 2-(piperazin-1-yl)benzonitrile was isolated as an off white solid (45 mg, quantitative yield). For characterization purposes, 2-(piperazin-1-yl)benzonitrile was taken up in DCM and basified to pH = 11. The organic layer passed through a phase separator, and concentrated to yield **1.30** as a yellow oil. ¹H NMR (400 MHz, CDCI3) δ 7.56 (dd, J = 7.9, 1.7 Hz, 1H), 7.53 – 7.45 (m, 1H), 7.04 – 6.97 (m, 2H), 3.23 – 3.16 (m, 4H), 3.11 – 3.05 (m, 4H). ¹³C NMR (101 MHz, CDCI₃) δ 156.10, 134.33, 133.81, 121.71, 118.70, 118.46, 105.98, 52.77, 46.09. LC-MS [m/z+H] = 202.7.



tert-butyl (*R*)-4-(2-cyanophenyl)-2-methylpiperazine-1-carboxylate.

Following the general procedure, crude reaction mixture was purified by column chromatography (10 – 40% ethyl acetate in hexanes) to provide the title compound as a clear oil (75 mg, 23%). ¹H NMR (400 MHz, CDCI₃) δ 7.51 (dd, *J* = 7.7, 1.7 Hz, 1H), 7.45 – 7.39 (m, 1H), 7.00 – 6.91 (m, 2H), 4.30 (d, *J* = 6.7 Hz, 1H), 3.91 (dd, *J* = 13.2, 3.3 Hz, 1H), 3.38 – 3.20 (m, 3H), 2.90 (dd, *J* = 11.6, 3.7 Hz, 1H), 2.76 (td, *J* = 11.5, 3.4 Hz, 1H), 1.41 (s, 8H), 1.35 (d, *J* = 6.8 Hz, 3H). ¹³C NMR (101 MHz, CDCI3) δ 155.64, 154.54, 134.44, 133.76, 122.17, 118.93, 118.09, 106.36, 79.78, 56.26, 51.51, 47.14, 38.87, 28.36, 15.51. LC-MS [m/z+H] = 302.3.



(*R*)-2-(3-methylpiperazin-1-yl)benzonitrile.

Following the general procedure, (R)-2-(3-methylpiperazin-1-yl)benzonitrile was isolated as an off white solid (25 mg, quantitative yield). **LC-MS [m/z+H]** = 202.1.

General Procedure for S_N2 Reaction: In a close reaction vessel, arylhalide (1.1 equiv.), the hydrochloride salt of the desired *N*-arylpiperazine (1 equiv.), Nal (1.5 equiv.), and K_2CO_3 (2 equiv.), were dissolved in DCM (0.2 M). The reaction mixture stirred at 95 °C for 6 h. The reaction was then diluted with DCM, filtered, dried over MgSO₄, and concentrated. The crude product was purified by column chromatography (30-70% EtOAc/hexanes) to provide the final compound.



3-chloro-*N*-(2-(4-(2-cyanophenyl)piperazin-1-yl)ethyl)-4-fluorobenzenesulfonamide (1.32).

Following the general procedure, (3-chloro-*N*-(2-(4-(2-cyanophenyl)piperazin-1-yl)ethyl)-4-fluorobenzenesulfonamide was isolated as an off white amorphous solid (12 mg, 83%). ¹H NMR (400 MHz, CDCl₃) δ 7.97 (dd, *J* = 6.7, 2.3 Hz, 1H), 7.80 (ddd, *J* = 8.7, 4.3, 2.3 Hz, 1H), 7.57 (dd, *J* = 7.7, 1.6 Hz, 1H), 7.51 (ddd, *J* = 9.2, 7.6, 1.7 Hz, 1H), 7.29 (t, *J* = 8.5 Hz, 1H), 7.04 (qd, *J* = 8.5, 8.0, 2.9 Hz, 2H), 3.23 (t, *J* = 4.8 Hz, 4H), 3.12 (t, *J* = 5.7 Hz, 2H), 2.67 (t, *J* = 4.8 Hz, 4H), 2.63 (t, *J* = 5.8 Hz, 2H). ¹³C NMR (101 MHz, CDCl₃) δ 160.58 (d, *J*_{CF} = 257.8 Hz), 155.24, 136.91 (d, *J*_{CF} = 3 Hz), 134.33, 133.94, 130.04, 127.62, 127.54, 122.49 (d, *J*_{CF} = 18 Hz), 122.32, 118.81, 118.26, 117.42 (d, *J*_{CF} = 22 Hz), 106.35, 55.89, 52.69, 51.17, 39.05. LC-MS [m/z+H] = 423.3.



(R)-3-chloro-N-(2-(4-(2-cyanophenyl)-2-methylpiperazin-1-yl)ethyl)-4-

fluorobenzenesulfonamide (1.55).

Following the general procedure, (R)-3-chloro-N-(2-(4-(2-cyanophenyl)-2-

methylpiperazin-1-yl)ethyl)-4-fluorobenzenesulfonamide was isolated as a yellow oil (10

mg, 78%). ¹H NMR (400 MHz, CDCI₃) δ 7.98 (dd, J = 6.7, 2.3 Hz, 1H), 7.83 (ddd, J = 8.6, 4.3, 2.3 Hz, 1H), 7.58 (dd, J = 7.7, 1.6 Hz, 1H), 7.53 (ddd, J = 8.3, 7.5, 1.7 Hz, 1H), 7.29 (t, J = 8.5 Hz, 1H), 7.09 (td, J = 7.6, 1.0 Hz, 1H), 7.05 (d, J = 8.3 Hz, 1H), 3.55 – 3.05 (m, 8H), 2.84 (d, J = 41.4 Hz, 2H), 1.37 (d, J = 5.9 Hz, 3H). ¹³C NMR (101 MHz, CDCI₃) δ 160.61 (d, $J_{CF} = 257.2$ Hz), 154.33, 136.91 (d, $J_{CF} = 3.9$ Hz), 134.20 (d, $J_{CF} = 11.6$ Hz), 133.60, 131.74, 130.04, 127.63 (d, $J_{CF} = 8.4$ Hz), 123.16, 122.44 (d, $J_{CF} = 18.7$ Hz), 119.30, 118.01, 117.54 (d, $J_{CF} = 22.4$ Hz), 106.72, 56.61, 53.62, 49.85, 38.84, 14.23, 1.03. LC-MS [m/z+H] = 437.5.

CHAPTER II

DEVELOPMENT OF AN METABOTROPIC GLUTAMATE RECEPTOR 4 POSITIVE ALLOSTERIC MODULATOR

Background and Introduction

G Protein-Coupled Receptors: Metabotropic Glutamate Receptors

Glutamate is the most abundant neurotransmitter in the central nervous system (CNS) and is involved in virtually every excitatory brain function.³⁶ The metabotropic glutamate (mGlu) receptors are G protein-coupled receptors (GPCRs) that are widely distributed throughout the CNS.³⁷ GPCRs encompass a wide variety of extracellular proteins that are responsible for sensing diverse chemical messengers and activating internal signal transduction pathways.³⁸ In eukaryotes, GPCRs are the most prevalent transmembrane proteins with greater than 800 GPCRs identified in the human genome and are involved in endocrinal signaling pathways, anti-inflammatory and immune responses, physiological homeostasis, human behavior, and the chemical senses of smell and taste.³⁹ Considering their abundance in human biology, GPCRs have proven to be pharmacologically lucrative targets with 475 drugs approved by the Food and Drug Administration (FDA) clinically available that target 108 different GPCRs.⁴⁰

Structurally, all GPCRs all share a common counter-clockwise bundle structure of seven transmembrane (7TM) helices and associate with intracellular heterotrimeric guanine nucleotide-binding proteins (G proteins).³⁸ GPCRs are divided into 6 classes based on sequence homology and structural similarity, with mGlu receptors belonging to Class C.³⁷ Class C mGlus exist as constitutive, covalently linked dimers of two mGlu subunits.⁴¹

The Class C family is further characterized by the large extracellular N-terminus domain, specifically termed the Venus flytrap domain (VFD) for mGlus (**Figure 2.1**). Evidence suggests that two VFDs dimerize together, back to back, forming a hydrophibic binding pocket.⁴² The Venus flytrap nomenclature is derived from the mechanism in which the two lobes of the VFD 'bite' down on glutamate upon binding, inducing the conformational change necessary to induce receptor activation and subsequent signaling cascades.⁴³ Following glutamate binding, conformational changes are conducted down the structurally-rigid cysteine-rich domain (CRD), comprised of 9 cysteine residues, of which 8 engage in disulfide bonds.⁴⁴ The 9th cysteine forms a disulfide bridge with the VFD, which propagates the structural changes from the VFD to the 7TM, inducing its rearrangement.⁴⁵ This rearrangement results in the dissociation of the heterotrimeric G protein complex in which the G_{βγ} and G_α subunits can participate in secondary messenger cascades.^{41, 46, 47}





The mGlu family is composed of three groups of 8 members (mGlu₁₋₈), which are categorized based on sequence homology, G protein signaling, and ligand binding. Group I consists of mGlu_{1,5}, group II of mGlu_{2,3}, and group III with mGlu_{4,6,7,8}.⁴⁸ Group I is coupled to $G_{\alpha q}$, wherein activation of the receptor results in the release of the $G_{\alpha q}$ subunit from the heterotrimeric G protein.^{46, 49} G_{aq} activates phospholipase C (PLC), which cleaves phosphatidylinositol 4,5-biphosphate (PIP2) into diacyl glycerol (DAG) and isolitol 1,4,5-triphosphate (IP3), the latter of which is cytosolic and activates a number of receptors.⁵⁰ Importantly, it activates endoplasmic reticulum (ER) bound calcium channels, which allows for influx of calcium ions into the cytosol.^{51, 52} Group 2 and 3 are both coupled to $G\alpha_i$, where the interaction of the G protein with adenylate cyclase (AC) results in a reduced activation of AC, which converts adenosine triphosphate (ATP) into cyclic adenosine monophosphate (cAMP).⁵¹ This reduction in cellular CAMP can have a myriad of intracellular effects, including impacts on cellular ion channels and the ser/thr-speific protein kinase A (PKA) family of protein kinases.⁴⁴ $G_{i/o}$ GPCRs can also directly affect ion channels via the β y subunits and this may be even more important in modulating synaptic transmission than changes in cAMP.53

Orthosteric vs. Allosteric Ligands for mGlu receptors

Traditional probe development approaches target the orthosteric site of a target protein, where its endogenous ligands bind. However, such an approach would be unsuccessful for modulating the mGlu receptor subtypes. As all mGlu receptors bind glutamate, the orthosteric binding site is highly conserved across all subtypes.⁵⁴ Such homology within the active site does not provide opportunities to confer subtype selectivity needed for interrogating the physiological importance of a single mGlu receptor subtype.⁵⁵

Further, orthosteric ligands have proven to be an unsuccessful approach when the therapeutic activity for receptor activation is small.⁵⁶ Orthosteric modulation results in sustained receptor activation or deactivation, and chronic dosing can result in receptors become desensitized and internalized, or over-activated.⁵⁷ Thus, orthosteric drugs lose efficacy over time. For example, drugs of abuse that target the opioid receptor, such as heroin and morphine require increasing doses to achieve the same therapeutic effect over chronic administration due to receptor desensitization.⁵⁸⁻⁶¹

The detriments associated with orthosteric modulation of CNS GPCRs is further exemplified by xanomeline, a muscarinic acetylcholine receptor 1 and 4 (M_1/M_4) preferring orthosteric agonist.⁶² Xanomeline was developed with the intention of improving cognition in schizophrenia patients. Unfortunately, during the clinical trial, patients suffered from severe side effects that were indicative of cholinergic toxicity.⁶³ This was attributed to over-activation of the muscarinic receptors and off-target activity at M_2 and M_3 receptors.⁶⁴

Finally, orthosteric ligand development for the mGlu family would require pursuing small-molecules derived from glutamate. Such medicinal chemistry efforts would result in amino acid-like molecules, known to have poor *in vivo* pharmacokinetic (PK) properties. Small, charged molecules are known to suffer from high hepatic clearance and would not be brain penetrant with traditional methods of administration.⁶⁵ This problem is not unique to the mGlu family and plagues several types of CNS

receptors as their endogenous ligands range from neurotransmitters to large peptides, such as hormones.

Due to the challenges associated with orthosteric drug discovery, allosteric modulation has become a burgeoning area for medicinal chemistry efforts.⁶⁶⁻⁶⁹ Allosteric modulators act at an alternative site distinct from the orthosteric site (allosteric site), to potentiate the action of the endogenous ligand. Allosteric modulators can be classified as either positive allosteric modulators (PAMs), negative allosteric modulators (NAMs), or neutral allosteric ligands (NALs).⁶⁷ Pure PAMs increase the potency and efficacy of the endogenous ligand, but do not result in signaling in the absence of agonist. Potentiation is accomplished by stabilizing an active conformation of the receptor, which can improve affinity, efficacy, or both. Agonist-PAMs (or ago-PAMs) are capable of activating the target in the absence of the orthosteric agonist, but also potentiates the receptor activation upon interaction with the orthosteric ligand.⁷⁰ NAMs reduce the effect of the receptors orthosteric ligand by stabilizing an inactive conformation of the target. NALs have no impact on signaling through the orthosteric site but can block the effect of other allosteric ligands that bind in the same pocket.

The first class of allosteric modulators to gain FDA approval were the benzodiazapienes, which have sedative and anxiolytic effects by enhancing the effect of γ -aminobutyric acid (GABA) at GABA receptors.^{68, 71, 72} Otherwise, few drugs that are allosteric modulators are clinically available, due to the inherent difficulty associated with developing allosteric modulators.⁷³ First, SAR tends to be steep; subtle changes can completely negate activity, or result in a mode switch (i.e. NAM to PAM switch). Additionally, allosteric sites are often not evolutionarily conserved like orthosteric sites,

which complicates the translation of activity from animal models to human patients. Finally, allosteric modulators tend to be extremely lipophilic, as allosteric sites are often on the exterior of the target protein. Thus, they rely on hydrophobic interactions to drive binding, which results in small molecules with unfavorable pharmacological profiles.

Despite the challenges of development, allosteric modulators (AMs) have proven to function as invaluable tool compounds in preclinical studies and in human patients.⁶⁸ In summary, allosteric modulation has been proven to offer several benefits over orthosteric agents: (1) AMs provide greater receptor and receptor subtype selectivity due to the high sequence divergence in allosteric sites relative to the conserved orthosteric domains⁷⁴ (2) AMs are quiescent in the absence of endogenous ligand and thus do not disrupt the physiological cycle of receptor activation,⁷⁵ and (3) AMs allow for a high degree of titratability of the pharmacological effect necessary for targets with narrow windows of therapeutic relevance.⁶⁷

*mGlu*₄ and Parkinson's Disease

Behind Alzheimer's disease, Parkinson's Disease (PD) is the second most common neurodegenerative disorder affecting 1.8% of individuals aged 65 or older worldwide.⁷⁶ The estimated economic burden of PD is estimated to be \$25 billion per year in the US alone.⁷⁷ PD is characterized by the progressive loss of dopaminergic neurons in the Substantia Nigra pars compacta (SNpc), causing dysfunction of the basal ganglia motor circuit and the eventual onset of the hallmark motor symptoms of PD (resting tremor, rigidity, bradykinesia and postural instability) which can be fatal

(asphyxiation).⁷⁸ The cause of PD is unknown, with known genetic and environmental factors associated with an increase in risk.⁷⁷

There are currently no disease-modifying treatments for PD, with only palliative treatments and dopamine replacement strategies (levodopa) as the main avenues. Some patients qualify for deep brain stimulation (DBS), a surgical treatment in which electrodes are implanted into the brain.⁷⁹ DBS sends continuous electrical pulses to the target areas in the brain, modifying the abnormal activity in that area of the brain that is causing symptoms.⁸⁰ However, this is an invasive surgery that is not appropriate for all PD patients. Thus, the dopamine precursor levodopa (L-DOPA), remains the gold standard for PD treatment.

During the first years of L-DOPA treatment, it markedly improves the motor symptoms associated with PD and in turn, patient quality of life. However, following long-term treatment with L-DOPA and as the disease progresses, increasing doses of L-DOPA become necessary.⁸¹ This leads to the emergence of debilitating side effects, including motor fluctuations and dyskinesia.⁸² Consequently, there has been a major push towards the discovery of novel therapies that work to prevent or delay the onset of PD, or manage the motor complications in PD patients.

One promising approach is targeting the pathways within the basal ganglia (BG). The output of the BG is dictated by two major neural circuits that exert opposing influences.⁸³ Coordination between the direct (stimulatory) and indirect (inhibitory) networks are important for facilitating the execution of motor planning and motor movements.⁸⁰ In both pathways, dopamine regulates the glutamatergic inputs and
therefore controls the ultimate output. In PD, the loss of dopaminergic control leads to an imbalance in favor of a hyperactive indirect pathway, and a subsequent pathological inhibition of the motor cortex.^{83, 84} Thus, restraining the aberrant inhibitory activity of the indirect pathway proves to be a promising approach towards controlling the motor dysfunction of PD.⁸³ Receptor classes present in the indirect pathway have been studied in order to identify novel targets that may provide disease-modifying treatment options for PD.⁸⁰

One such receptor is mGlu₄, localized on pre-synaptic terminals of intrinsic basal ganglia pathways where their positive allosteric modulation may serve to restrict neurotransmitter release and result in anti-PD benefits.⁸⁵ Several mGlu₄ PAM *in vivo* tool compounds have been developed (**Figure 2.2**), offering evidence that mGlu₄ PAMs could be novel, disease-modifying treatment options. Valenti et al. showed that stimulation of presynaptic mGlu₄ at these synapses reduced excessive glutamate release.⁸⁶ Battaglia et al. and Betts et al. further demonstrated that administration of mGlu₄ PAMs prevented dopaminergic cell death in the substantia nigra induced by either MPTP or 6-OHDA treatment (both selectively destroy dopaminergic neurons).⁸⁷ Additionally, administration of an mGlu₄ PAM reduced ischemic brain damage, and an mGlu₄ PAM has also been shown to reduce inflammatory responses in microglia, providing potential mechanisms for neuroprotection.

The structural classes of disclosed mGlu₄ PAMs are wide-ranging, from triaryl amines⁸⁸, **2.1**, cyclohexyl amides⁸⁹, **2.2**, picolinamides⁹⁰, **2.3**, and pyrazolo[4,3*b*]pyridines, **2.4**, which speaks to the variability or number of allosteric binding sites of the receptor. Although much of the work remains in the preclinical stage, Prexton Therapeutics advanced their lead molecule, **2.5**, into clinical studies in Europe.⁹¹ However, **2.5** failed in Phase II clinical trial due to a lack of efficacy in modulating the effects of long-term L-DOPA use.⁸¹ Therefore, bringing forth multiple new structural classes of PAMs for clinical evaluation is a high priority for the validation of this receptor in the clinic.⁷⁸



Figure 2.2: Structures of reported mGlu₄ PAMs **2.1–2.4** with efficacy in preclinical rodent and/or nonhuman primate models of PD and **2.5**, the first mGlu₄ PAM to advance into clinical trial.

Conclusions

Using a scaffold identified in a previous HTS campaign identifying PAMs for the mGlu_{2/4} receptor heterodimer, we will work to develop a novel chemotype that is structurally different from previously disclosed mGlu₄ PAMs. SAR and DMPK studies will be used to guide our chemical approaches, as a co-crystal structure of mGlu₄ is unavailable. We hope to achieve the necessary subtype selectivity and potency

required for *in vivo* validation via behavioral studies. The following section of this chapter will summarize our efforts that led to the discovery of a novel mGlu₄ PAM chemotype.

Materials and Methods

General Synthetic Methods and Instrumentation

All NMR spectra were recorded on a 400 MHz AMX Bruker NMR spectrometer. ¹H and ¹³C chemical shifts are reported in δ values in ppm downfield with the deuterated solvent as the internal standard. Data are reported as follows: chemical shift, multiplicity (s = singlet, d = doublet, t = triplet, q = quartet, b = broad, m = multiplet), integration, coupling constant (Hz). Low resolution mass spectra were obtained on an Agilent 6120 or 6150 with ESI source. MS parameters were as follows: fragmentor: 70, capillary voltage: 3000 V, nebulizer pressure: 30 psig, drying gas flow: 13 L/min, drying gas temperature: 350 °C. Samples were introduced via an Agilent 1290 UHPLC comprised of a G4220A binary pump, G4226A ALS, G1316C TCC, and G4212A DAD with ULD flow cell. UV absorption was generally observed at 215 nm and 254 nm with a 4 nm bandwidth. Column: Waters Acquity BEH C18, 1.0 x 50 mm, 1.7 μm. Gradient conditions: 5% to 95% MECN in H₂O (0.1% TFA) over 1.4 min, hold at 95% MECN for 0.1 min, 0.5 mL/min, 55 °C. High resolution mass spectra were obtained on an Agilent 6540 UHD Q-TOF with ESI source. MS parameters were as follows: fragmentor: 150, capillary voltage: 3500 V, nebulizer pressure: 60 psig, drying gas flow: 13 L/min, drying gas temperature: 275 °C. Samples were introduced via an Agilent 1200 UHPLC comprised of a G4220A binary pump, G4226A ALS, G1316C TCC, and G4212A DAD

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with ULD flow cell. UV absorption was observed at 215 nm and 254 nm with a 4 nm bandwidth. Column: Agilent Zorbax Extend C18, 1.8 µm, 2.1 x 50 mm. Gradient conditions: 5% to 95% MECN in H₂O (0.1% formic acid) over 1 min, hold at 95% MECN for 0.1 min, 0.5 mL/min, 40 °C. For compounds that were purified on a Gilson preparative reversed-phase HPLC, the system comprised of a 333 aqueous pump with solvent-selection valve, 334 organic pump, GX-271 or GX-281 liquid hander, two column switching valves, and a 155 UV detector. UV wavelength for fraction collection was user-defined, with absorbance at 254 nm always monitored. Method 1: Phenomenex Axia-packed Luna C18, 30 x 50 mm, 5 µm column. Mobile phase: MECN in H₂O (0.1% TFA). Gradient conditions: 0.75 min equilibration, followed by user defined gradient (starting organic percentage, ending organic percentage, duration), hold at 95% MECN in H₂O (0.1% TFA) for 1 min, 50 mL/min, 23 °C. Method 2: Phenomenex Axia-packed Gemini C18, 50 x 250 mm, 10 um column. Mobile phase: MECN in H₂O (0.1% TFA). Gradient conditions: 7 min equilibration, followed by user defined gradient (starting organic percentage, ending organic percentage, duration), hold at 95% MECN in H₂O (0.1% TFA) for 7 min, 120 mL/min, 23 °C. All reagents were purchased from Aldrich Chemical Co. and were used without purification. All final compounds were >98% pure by LCMS (254 nm, 214 nM and ELSD). Following these purification protocols, final compounds were transferred to a barcode vial and diluted to a concentration of 10 µM using molecular biology grade dimethylsulfoxide (DMSO). These compounds were registered into Dotmatics and assigned a VU identification (VUID) number before being tested in the primary screening assay.

GIRK-Mediated Thallium Flux Assay

Cells were plated into 384 well, black-walled, clear-bottom poly-D-lysine coated plates (Greiner) at a density of 15,000 cells/20 µl/well in DMEM containing 10% dialyzed FBS, 20 mM HEPES, and 100 units/ml penicillin/streptomycin (Assay Media). Plated cells were incubated overnight at 37 °C in the presence of 5% CO₂. The following day, the medium was removed from the cells and 20 µl/well of 330 nM Fluo Zn2 (Invitrogen; prepared as a stock in DMSO and mixed in a 1:1 ratio with pluronic acid F-127) in Assay Buffer (Hanks Balanced Salt Solution (Invitrogen) containing 20 mM HEPES pH 7.3) was added to the plated cells. Cells were incubated for one h at room temperature and the dye was replaced with the 20 µl of Assay Buffer. Glutamate was diluted in Thallium Buffer (125 mM sodium bicarbonate (added fresh the morning of the experiment), 1 mM magnesium sulfate, 1.8 mM calcium sulfate, 5 mM glucose, 12 mM thallium sulfate, 10 mM HEPES, pH 7.3) at 5x the final concentration to be assayed. For concentration-response curve experiments, compounds were serially diluted 1:3 into 10 point concentration response curves in DMSO, transferred to daughter plates using the Echo, and diluted in Assay Buffer to a 2X final concentration. Cell plates and compound plates were loaded onto a Hamamatsu FDSS 6000 or 7000 kinetic imaging plate reader. Baseline readings were taken (10 images at 1 Hz, excitation, 470±20 nm emission, 540±30 nm) and test compounds were added in a 20 µl volume and incubated for 2.5 min prior to the addition of 10 µl of Thallium Buffer ± agonist. After the addition of agonist, data were collected for an additional 2 min. The slope of the fluorescence increase beginning 5 sec after thallium/agonist addition and ending 15 sec

after thallium/agonist addition was calculated. Data were analyzed using a fourparameter logistical equation in Dotmatics or GraphPad Prism software.

In vitro DMPK Methods: Intrinsic Clearance in Rat Liver Microsomes

Rat liver microsomes (0.5 mg/mL) and 1 μ M test compound were incubated in 100 mM potassium phosphate pH 7.4 buffer with 3 mM MgCl2 at 37 °C with constant shaking. After a 5 min preincubation, the reaction was initiated by addition of NADPH (1 mM). At selected time intervals (0, 3, 7, 15, 25, and 45 min), 50 μ L aliquots were taken and subsequently placed into a 96-well plate containing 150 μ L of cold acetonitrile with internal standard (50 ng/mL carbamazepine). Plates were then centrifuged at 3000 rcf (4 °C) for 10 min, and the supernatant was transferred to a separate 96-well plate and diluted 1:1 with water for LC/MS/MS analysis. The in vitro half-life (T1/2, min, Eq. 1), intrinsic clearance (CL_{INT}, mL/min/kg, Eq. 2) and subsequent predicted hepatic clearance (CL_{HEP}, mL/min/kg, Eq. 3) were determined employing the following equations:

(1)
$$T_{1/2} = \frac{Ln(2)}{k}$$

where k represents the slope from linear regression analysis of the natural log percent remaining of test compound as a function of incubation time

(2)
$$CL_{int} = \frac{0.693}{in \, vitro \, T_{1/2}} \, x \, \frac{mL \, incubation}{mg \, microsomes} \, x \, \frac{45 \, mg \, microsomes}{grams \, liver} \, x \, \frac{45^a \, gram \, liver}{kg \, body \, weight}$$

^ascale-up factor that is species specific

(3)
$$CL_{hep} = \frac{Q_h \cdot CL_{int}}{Q_h + CL_{int}}$$

Where Qh (hepatic blood flow) is species specific

Rat Plasma Protein Binding

The protein binding of each compound was determined in rat or mouse plasma via equilibrium dialysis employing HTDialysis Teflon dialysis chamber and cellulose membranes (MWCO 12-14 K) (HTDialysis LLC, Gales Ferry, CT). Plasma was added to the 96-well plate containing test compound and mixed thoroughly for a final concentration of 5 μ M. Subsequently, 150 μ L of the plasma-compound mixture was transferred to the dialysis chamber, with an accompanying 150 µL of phosphate buffer (25 mM, pH 7.4) on the other side of the membrane. The device plate was sealed and incubated for 4 h at 37 °C with shaking. At completion, aliquots from each chamber were diluted 1:1 with either plasma (for the buffer sample) or buffer (for the plasma sample) and transferred to a new 96-well plate, at which time ice-cold acetonitrile containing internal standard (50 ng/mL carbamazepine) (2 volumes) was added to extract the matrices. The plate was centrifuged (3000 rcf, 10 min) and supernatants transferred and diluted 1:1 (supernatant: water) into a new 96 well plate, which was then sealed in preparation for LC/MS/MS analysis. Each compound was assayed in triplicate within the same 96-well plate. Fraction unbound was determined using the following equation:

$$F_u = \frac{Conc_{buffer}}{Conc_{plasma}}$$

Rat Brain Homogenate Binding

The brain homogenate binding of each compound was determined in brain homogenate via equilibrium dialysis employing HTDialysis Teflon dialysis chamber and cellulose membranes (MWCO 12-14 K) (HTDialysis LLC, Gales Ferry, CT). Brain tissue homogenate was prepared by diluting one volume whole mouse or rat brain tissue with one to three volumes (species specific) of phosphate buffer (25 mM, pH 7.4). The mixture was then subjected to mechanical homogenization employing a MiniBeadbeater[™] and 1.0 mm Zirconia/Silica Beads (BioSpec Products). Brain homogenate spiked with test compound and mixed thoroughly for a final concentration of 5 µM. Subsequently, 150 µL of the brain homogenate-compound mixture was transferred to the dialysis chamber with an accompanying 150 µL of phosphate buffer (25 mM, pH 7.4) on the other side of the membrane. The block was sealed and incubated for 6 h at 37 °C with shaking. At completion, aliquots from each side of the chamber were diluted 1:1 with either brain homogenate (to the buffer side) or buffer (to the brain homogenate side) in a new 96 well plate, at which time ice-cold acetonitrile containing internal standard (50 ng/mL carbamazepine) was added to extract the matrices. The plate was centrifuged (3000 rcf, 10 min) and supernatants transferred and diluted 1:1 (supernatant: water) into a new 96 well plate, which was then sealed in preparation for LC/MS/MS analysis. Each compound was assayed in triplicate within the same 96-well plate. Fraction unbound was determined using the following equation:

$$F_{u,tissue} = \frac{1/D_f}{\left(\frac{1}{F_{u,hom}} - 1\right) + 1/D_f}$$

Where $F_{u,hom}$ represent the measured fraction unbound in the diluted homogenate and Df represents dilution factor.

LC/MS/MS Analysis of Samples from In Vitro Assays

Samples were analyzed via electrospray ionization (ESI) on an AB Sciex API4000 (Foster City, CA) triple-quadrupole instrument that was coupled with Shimadzu LC-10AD pumps (Columbia, MD) and a Leap Technologies CTC PAL auto-sampler (Carrboro, NC). Analytes were separated by gradient elution using a Fortis C18 3.0 x 50 mm, 3 µm column (Fortis Technologies Ltd, Cheshire, UK) thermostated at 40 °C. HPLC mobile phase A was 0.1% formic acid in water (pH unadjusted), mobile phase B was 0.1% formic acid in acetonitrile (pH unadjusted). The gradient started at 10% B after a 0.2 min hold and was linearly increased to 90% B over 1.2 min; held at 90% B for 0.1 min and returned to 10% B in 0.1 min followed by a re-equilibration (0.9 min). The total run time was 2.5 min and the HPLC flow rate was 0.5 mL/min. The source temperature was set at 500 °C and mass spectral analyses were performed using multiple reaction monitoring (MRM), with transitions specific for each compound utilizing a Turbo-lonspray® source in positive ionization mode (5.0 kV spray voltage).

In Vivo PK Methods

All rodent PK experiments were conducted in accordance with the National Institute of Health regulations of animal care covered in Principles of Laboratory Animal Care (National Institutes of Health publication 85-23, revised 1985) and were approved by the Institutional Animal Care and Use Committee.

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Time Course PK and Single Time Point Tissue Distribution Studies

IV cassette PK experiments in rats were carried out according to methods described previously. Briefly, a cassette of compounds (n = 4-5/cassette) were formulated from 10 mM solutions of compounds in DMSO. In order to reduce the absolute volume of DMSO that was administered, the compounds were combined and diluted with ethanol and PEG 400 to achieve a final concentration of 0.4–0.5 mg/mL for each compound (2 mg/mL total) administered in each cassette. The final dosing solutions consisted of approximately 10% ethanol, 40% PEG400, and 50% DMSO (v/v). For time course PK studies, each cassette dose was administered IV via the jugular vein to two dual-cannulated (carotid artery and jugular vein) adult male Sprague-Dawley rats, each weighing between 250 and 350 g (Harlan, Indianapolis, IN) for a final dose of 0.2–0.25 mg/kg per compound. Whole blood collections via the carotid artery were performed at 0.033, 0.117, 0.25, 0.5, 1, 2, 4, 7, and 24 h post dose and plasma samples prepared for bioanalysis. For single time point tissue distribution studies, compounds were formulated as described above (in cassette format) and dosed to male Sprague-Dawley rats for a final dose of 0.2-0.25 mg/kg per compound. Brain dissection and blood collections via the carotid artery were performed 0.25 h post dose. The brain samples were rinsed in PBS, snap frozen and stored at -80 °C. Prior to LC/MS/MS analysis, brain samples were thawed to room temperature and subjected to mechanical homogenation employing a Mini-Beadbeater[™] and 1.0 mm Zirconia/Silica Beads (BioSpec Products).

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LC/MS/MS Bioanalysis of Samples from In Vivo Assays

In vivo samples were analyzed via electrospray ionization (ESI) on an AB Sciex API-4000 (Foster City, CA) triple-quadrupole instrument that was coupled with Shimadzu LC-10AD pumps (Columbia, MD) and a Leap Technologies CTC PAL autosampler (Carrboro, NC). Analytes were separated by gradient elution using a Fortis C18 3.0 x 50 mm, 3 µm column (Fortis Technologies Ltd, Cheshire, UK) thermostated at 40 °C. HPLC mobile phase A was 0.1% formic acid in water (pH unadjusted), mobile phase B was 0.1% formic acid in acetonitrile (pH unadjusted). The source temperature was set at 500 °C and mass spectral analyses were performed using multiple reaction monitoring (MRM), with transitions specific for each compound utilizing a Turbolonspray[®] source in positive ionization mode (5.0 kV spray voltage). The calibration curves were constructed, and linear response was obtained by spiking known amounts of test compound in blank brain homogenate or plasma. All data were analyzed using AB Sciex Analyst software v1.5.1. The final PK parameters were calculated by noncompartmental analysis using Phoenix (version 6.2) (Pharsight Inc., Mountain View, CA).

In Vivo Behavioral Methods

Male Sprague-Dawley rats weighing between 292-324 grams (Harlan, Inc., Indianapolis, IN) were used. They were housed in the animal care facility certified by the American Association for the Accreditation of Laboratory Animal Care under a 12-hour light/dark cycle (lights on: 7 a.m.; lights off: 7 p.m.) and had free access to food and water. The experimental protocols performed during the light cycle were approved by the Institutional Animals Care and Use Committee of Vanderbilt University and conformed to the guidelines established by the National Research Council Guide for the Care and Use of Laboratory Animals.

Halperidol Induced Catalepsy

VU6022296-02-01, and VU0418506-07 (both in-house synthesized by Lindsley Lab) was weighed and 2% Tween 80/0.5% methylcellulose was placed on top of the compound in serum bottles. The compounds were placed in the bath sonicator for 30 min. VU6022296 was adjusted to pH 7 and was dosed i.p. 2 ml/kg. VU506 was administrated at 10 times body weight p.o. The final solution is a suspension.

Adult male Sprague-Dawley rats were injected with 1.5 mg/kg of haloperidol i.p. (dissolved in 8% lactic acid and taken to volume of 50 ml with sterile water). One hour later some the animals are administered 10 mg/kg of VU0418506, the rest were administered VU'2296 **2.20** (3-30 mg/kg i.p.) or vehicle 15 minutes later. Cataleptic behavior is determined 15 minutes later by placing the forelimbs on a bar raised 6 cm above the table and recording the amount of time it takes for the rat to withdraw the forelimbs with a cutoff of 60 seconds. Data are expressed as mean latency to withdraw + SEM or percent inhibition of catalepsy + SEM. Blood and brain samples were taken to determine compound levels. The data for these dose-response studies were analyzed by a one-way analysis of variance. If there was a main effect of dose, then each dose group was compared with each of the other treated groups using GraphPad Prism (version 4.03, GraphPad, La Jolla, CA).

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DEVELOPMENT OF AN METABOTROPIC GLUTAMATE RECEPTOR 4 POSITIVE ALLOSTERIC MODULATOR

Medicinal Chemistry Strategy

Oxo-thiazepine, VU0544412 (**2.6**, **Figure 2.3**), was identified in an HTS screen aimed at identifying a selective mGlu_{2/4} heterodimer PAMs, and preliminary investigation of this scaffold is disclosed in the dissertation of Dr. Mark Fulton. Initial interest in **2.6** was driven by the unique oxo-thiazepine core, and its resemblance to the Lundbeck scaffold (**2.2**), as both have flexible core structures and prefer halogens in the three position. Further, the unique chemotype fulfils the need to bring forth new structural classes of mGlu₄ PAMs for the validation of this receptor in the clinic. This oxothiazepine moiety is virtually untouched chemical space, with only a single patent in the literature disclosing similar cyclic cysteine derivatives with associated biological activities.⁹²

HTS lead VU0544412 (2.6) $EC_{50} mGlu_{2/4} = 772 nM$ $Glu_{max} = 106\%$ $EC_{50} mGlu_4 = 6.2 \mu M$ $Glu_{max} = 98\%$ Inactive at mGlu₂

VU6014297 (2.7) $EC_{50} mGlu_{2/4} = 518 nM$ $Glu_{max} = 111\%$ $EC_{50} mGlu_4 = 148 nM$ $Glu_{max} = 120\%$ Inactive at mGlu₂ Brain (ng/g) = BLQ $K_p = N/A$

Figure 2.3: Structures of VU0544412 (**2.6**) and VU6014297 (**2.7**) from the mGlu_{2/4} campaign, with associated activities and relevant PK parameters.

Dr. Fulton improved the potency of the hit (**2.6** to **2.7**, **Figure 2.3**), but was unable to use this scaffold to develop a probe that was selective for the mGlu_{2/4} receptor heterodimer. Further, **2.7** displays modest pharmacological characteristics and is not brain penetrant, thus this scaffold was abandoned. However, the potency of these molecules in potentiating mGlu₄ activity, without mGlu₂ activity, is attractive and provides an excellent starting point for our medicinal chemistry efforts. Using Dr. Fulton's original compounds, and addressing the gaps in the SAR, we pushed to develop a novel, brain-penetrant mGlu₄ PAM. We aimed to validate this chemotype as a promising mGlu₄ PAM with *in vivo* behavioral studies.

Improved Synthetic Methods for Accessing the Oxo-thiazepine Core

Previously, syntheses of **2.6** and its derivatives were conducted according to the only procedure reported in the literature.⁹³ First, methyl acrylate underwent Michael addition in the presence of L-cysteine and triethylamine gave the 1,4 thio-adduct (**2.8**) in good yields. Intramolecular cyclization was accomplished by diluting the intermediate in 7M ammonia/methanol for 7 days, with low yields. Workup of this reaction by acid base extraction gave the oxo-thiazepine carboxylic acid core, **2.9**. Derivatization occurred through a HATU-mediated amide coupling reaction with various anilines (**Scheme 2.1**). While the synthetic scheme is short, it is low yielding and time-consuming. Thus, we turned to the literature for synthetic inspiration to improve the route.



Scheme 2.1: Original synthesis of oxo-thiazepine VU0544412 analogs from L-cysteine and methyl acrylate.

While little is reported in the literature in regard to substituted 1,4-thiazepines as biological probes, there are several works that report our desired core as a byproduct of a fluorescent cysteine detection system.⁹⁴⁻⁹⁶ We hypothesized that we could use this system to readily access the oxo-thiazepine quickly, and in high yields. Using the fluorescent probe 2-(2'-hydroxy-4'-methoxyphenyl)benzothiazole (HMBT), masked with an α , β -unsaturated carbonyl moiety, we could achieve the desired conjugate addition upon addition of cysteine. The ensuing intramolecular cyclization reaction would occur with the HMBT ester. This would provide the (*R*)-5-oxo-1,4-thiazepane-3-carboxylic acid and the fluorescent probe HMBT, which could be recovered and reused (**Scheme 2.2**).



Scheme 2.2: Improved synthesis of the oxo-thiazepine from L-cysteine and masked HMBT **2.10**.

Synthesis of HMBT occurred via a condensation/intramolecular nucleophilic addition/oxidation process between 2-hydroxy-4-methoxybenzaldehyde and 2aminothiophenol (**Scheme 2.3**) catalyzed by silver nitrate.⁹⁷ HMBT was then subjected to esterification conditions with acryloyl chloride and base. The desired electrophilic probe (**2.10**) was isolated in excellent yield with little difficulty. We then set to establish conditions to facilitate the chemistry proposed in **Scheme 2.2** for synthetic needs rather than cysteine detection in an aqueous biological system.



Scheme 2.3: Synthetic route to access HMBT (**2.11**) and the masked HMBT ester (**2.10**) from aminothiphenol and 2-hydroxy-4-methoxybenzaldehyde.

The conditions reported in the original disclosure did not repeat well in our hands.⁹⁸ With an aqueous reaction medium, the conjugated addition of cysteine onto the HMBT ester was monitored by LCMS and occurred quickly. Upon the addition of base, we saw hydrolysis of the ester to yield HMBT and the Michael adduct without the formation of the cyclized product. The use of DCM as the solvent provided the oxo-thiazepine in good yields and prevented HMBT ester hydrolysis by solvent water.

Further, it was envisioned that the same strategy used in **Scheme 2.1** could be altered to improve yields, shorten reaction time, and with fewer steps. First, Michael addition of methyl L-cysteinate onto acrylic acid using diisopropylethylamine as a base gave the Michael adduct (**2.13**) in good yields. HATU was then added portion-wise to facilitate cyclization. The crude methyl ester **2.14**, was carried forward and subjected to gentle saponification conditions with barium hydroxide to prevent racemization. Pure oxo-thiazepine (**2.9**) was isolated with acid-base work up conditions. This route provides **2.9** quickly and efficiently, in 37% yield over 3 steps, should the use of our improved route be inaccessible.



Scheme 2.4: Modified synthesis from Scheme 2.1 to access oxo-thiazepine methyl Lcysteinate and acrylic acid.

Finally, we moved to explore conditions to improve the yield of the final amide coupling. We believed the low yields reported in Dr.Fulton's original scheme were due to the aniline coupling partners being weak nucleophiles. Several amide coupling conditions were screened that would avoid racemization and produce better yields (mixed anyhydrides, DIC, DCC, EDC, Phosphonium reagents, Aminium/Uronium-Imonium Reagents, T3P, CDI). DIC proved to be the best coupling agent when paired with the racemization inhibitor HOBt (**Scheme 2.5**). Fortunately, DIU was not an issue during purification using reverse phase purification techniques and allowed us to generate analogs quickly and with improved yields.



Scheme 2.5: Optimized amide coupling conditions to generate final compounds **2.15**-**2.26**.

SAR of the arene ring: analogues 2.15-2.29



Figure 2.4: Comparison of the oxo-thiazepine scaffold and Lundbeck's candidate, 2.2.

Considering the similarity between our oxo-thiazepine scaffold (2.7) and Lundbeck's clinical candidate (2.2, Figure 2.4), we set out to explore dihaloamide derivatives. All possible combinations of 3,5-dihaloamides were tested to determine what the ideal combination of halogen substitutions was for mGlu₄ activity. Additionally, 3,5 halogen isosteres (-Me, -CF₃, -OCF₃) substituted analogs, were synthesized to further confirm that halogen bonding is occurring. These data are shown in **Table 2.1**, below. As can be seen with analogs 2.20 and 2.21, addition of a bromine to the arene ring resulted in a considerable increase in potency, with both the 3-bromo-5-chloro and 3,5-dibromo analogs resulting in compounds that were in the low nanomolar range. The 3,5-bis(trifluoromethyl) analog 2.26, proved to maintain modest activity at mGlu₄, but confirms that halogen bonding interactions drive the potency observed with 2.20 and 2.21.



Table 2.1: 3,5-substituted analogs were explored to identify the halogen-bonding hypothesis in potency and efficacy, and to better understand the trends in this series. Associated potency and efficacy data from 10-point CRC-format screen at rat mGlu4 GIRK cell lines. Activites for each compound are reported as a percentage of the maximum response. VU number denotes the compound identifier assigned by Vanderbilt University. Data represent the mean of at least 3 replicate experiments with similar results.

R	VUID	Cmpd No	mGlu₄ EC₅₀ (nM)	mGlu₄ %Glu Max
3,5-F	VU6023806	2.15	8,920	102
3-Cl, 5-F	VU6023811	2.16	278	112
3-Br, 5-F	VU6023808	2.17	351	101
3-I, 5-F	VU6023809	2.18	558	102
3,5-Cl	VU6015338	2.19	273	88
3-Br, 5-Cl	VU6022296	2.20	32.8	108

3,5-Br	VU6023810	2.21	2.21 35.3	
3-I, 5-Br	VU6023812	2.22	115	101
3,5-Me	VU6044298	2.23	11,900	NA
3-Cl, 5-Me	VU6044297	2.24	1,090	87
3-Br, 5-OCF₃	VU6044296	2.25	2,660	22
3,5-CF₃	VU6044303	2.26	951	102

Halogen bonding tracks will with the observed SAR trends. Halogen bonding is a phenomenon where lone pairs on a heteroatom interact with and stabilize the σ^* orbital of the halogen-carbon bond on the arene. This LUMO becomes lower in energy as the halogen size increases and the bonds get longer and weaker, thus iodine analogues should prove to be ideal. However, the iodo-analogs **2.18** and **2.22** exhibit a loss of activity compared to the chloro- and bromo-analogs, but could be explained by the fact that the iodine atom is susceptible to means of decomposition, and could disrupt favorable interactions with the protein through the introduction of steric clash due to its size.

Moving forward with the two most potent 3,5-dihaloamides, the opposite enantiomer was synthesized. Using the improved synthetic route with D-cysteine, we quickly accessed **2.27-2.29**. Unfortunately, activity at mGlu₄ proved to be enantioselective, with **2.28** and **2.29** being 2-fold less potent. Thus, we chose to explore the importance of the oxo-thiazepine core.



Table 2.2: The opposite enantiomer of lead compounds 2.21 and 2.22 was investigated to see if binding at mGlu₄ was stereospecific. Associated potency and efficacy data from 10-point CRC-format screen at rat mGlu₄ GIRK cell lines. Activities for each compound are reported as a percentage of the maximum response. VU number denotes the compound identifier assigned by Vanderbilt University. Data represent the mean of at least 3 replicate experiments with similar results.

R	VUID	Cmpd No	mGlu₄ EC₅₀ (nM)	mGlu₄ %Glu Max
3-Br	VU6044301	2.27	Inactive	NA
3,5-Br	VU6044302	2.28	64.1	98
3-Br, 5-Cl	VU6044304	2.29	75.9	104

Evaluating the Importance of the Oxo-thiazepine Core



Figure 2.5: Thiomorpholine derivatives 2.30 and 2.31.

No modification of the oxo-thiazepine core had been previously explored, thus we chose to assess thiomorpholine derivatives. This was less of an attempt improve potency, but to improve synthetic accessibility and remove the amino-acid like nature of the series. The orientation of the two amides within this chemotype are peptide-like, which indicates it could be a substrate of P-glycoprotein (PGP) transporters.⁹⁹ PGP transporters in the BBB plays a large role in keeping substrates out of the brain, and might be responsible for the lack of brain penetrance associated with this scaffold, particularly **2.6**. Unfortunately, both **2.30** and **2.31** proved to be inactive and is indicative that the thiazepine core is crucial to activity.

Assessment of VU0622296 (2.20) as a Viable Tool Compound

Considering the potency of **2.20** and **2.21** at mGlu₄, we chose to consider them as tool compounds. The addition of a halogen on the arene ring of **2.7** resulted in in a 4-fold increase in potency and notably changed the predicted cLogP. For several classes of CNS active substances, Hansch and Leo found that BBB penetration is optimal when the cLogP values are in the range of 1.5-2.7, with the mean value of 2.1.¹⁰⁰ While all analogs considered are technically 'drug-like' (cLogP <3, MW <400, tPSA <75), the

improvement in cLogP seen with **2.20** and **2.21** is closer to the ideal cLogP for brain penetration (cLogP 2.1).³⁵ *In vitro* and *in vivo* DMPK confirmed that the improvement in cLogP resulted in better brain penetration, with **2.20** having a K_{p,uu} approaching unity.

Property	2.7	2.21	2.20
MW	284.77	408.12	363.65
cLogP	1.52	2.41	2.28
tPSA	58.1	58.4	58.2
Pharmacokinetic parameters			
CL _{INT} (mL/min/kg), rat		416	343
CL _{HEP} (mL/min/kg), rat	63.6	59.9	58.1
CL _{INT} (mL/min/kg), human		31	34.5
CL _{HEP} (mL/min/kg), human	9.4	12.5	13.1
PPB (f _u), rat		0.011	0.018
PPB (f _u), human		0.007	0.011
BHB (f _u), rat		0.006	0.028
Tissue distribution			
C _n plasma (ng/mL)		122	93.8
C _n brain (ng/mL)	BLQ	22.6	42.1
K _p , brain		0.19	0.45
K _{p,uu} brain		0.10	0.70

Table 2.3: *In vitro* and *in vivo* DMPK parameters for lead compounds **2.20** and **2.21**, compared to the original lead **2.7**.

However, **2.20** and **2.21** did not improve the scaffold's clearance issues (**Table 2.3**). The 3-bromo, 5-chloro analog (**2.20**) was moderately cleared in human (13.1 mL/min/kg), but highly cleared in rat (58.1 mL/min/kg). While clearance proves to be an issue for our animal behavioral models, first pass metabolism can be avoided via routes of administration. Thus, **2.20** was scaled up for evaluation in a haloperidol-induced catalepsy.

Haloperidol administration to mice induces a well-described condition of immobility characterized by muscle rigidity and frozen posture. This condition in mice resembles similar human conditions in disorders such as Parkinson's disease.¹⁰¹ The reversal of this phenotype with mGlu₄ PAMs is established by our group, and represents an excellent way to confirm anti-PD effects as a result of mGlu₄ activity.^{90, 102} Compound **2.20** reversed the haloperidol-induced PD phenotype in a dose-dependent manner with a mean effective dose (MED) of 10 mg/kg (**Figure 2.6**), and stands to be a viable mGlu₄ PAM tool compound.



Figure 2.6: VU6022296 (**2.20**) exhibits anti-PD activity in reversing haloperidol-induced catalepsy with a MED of 10 mgs/kg in male Sprague-Dawley rats.



VU0544412 (2.6) $EC_{50} mGlu_{2/4} = 772 nM$ $Glu_{max} = 106\%$ $EC_{50} mGlu_4 = 6.2 \mu M$ $Glu_{max} = 98\%$ Inactive at mGlu₂



VU6014297 (2.7) $EC_{50} mGlu_{2/4} = 518 nM$ $Glu_{max} = 111\%$ $EC_{50} mGlu_4 = 148 nM$ $Glu_{max} = 120\%$ Inactive at mGlu₂ Brain (ng/g) = BLQ $K_p = N/A$



VU6022296 (2.20) EC₅₀ mGlu₄ = 32.9 nM Glu_{max} = 108%

$$\begin{split} & {\rm K}_{\rm p} = 0.45 \\ & {\rm K}_{\rm p,uu} = 0.70 \\ & \textit{in vivo efficacy} \end{split}$$

Figure 2.7: Summary of SAR that lead to the discovery of 2.20.

In conclusion, we have identified a new mGlu₄ PAM tool compound containing a previously unexplored chemotype, and a novel route for its synthesis. This tool compound, **2.20** (**Figure 2.7**), was developed from a micromolar HTS lead to be a potent, brain-penetrant mGlu₄ PAM with *in vivo* efficacy. While this scaffold suffers from high hepatic clearance in rats, this can be overcome with routes of administration for *in vivo* animal studies. In collaboration with the Rook lab, we were able to show that **2.20** shows anti-PD efficacy as an *in vivo* tool compound in HIC animal models that correlates with compound exposure. We hope that with the development of novel mGlu₄ in PD and other relevant disease states. Such basic science studies will be crucial to push other mGlu₄ PAMs into the clinic.

Experimental Methods

General Synthetic Methods and Instrumentation

Unless otherwise stated, all reactions were conducted in flame-dried or ovendried glassware under inert atmospheres of argon. All commercially available reagents and reaction solvents were used as received, unless otherwise noted. Thin layer chromatography (TLC) was performed on glass-backed silica of 250 μ M thickness. Visualization was accomplished with UV light and/or the use of bromocresol green stain. Chromatography on silica gel was performed using Teledyne ISCO pre-packed silica gel columns using gradients of EtOAc/hexanes. ¹H and ¹³C chemical shifts are reported in δ values in ppm downfield with the deuterated solvent as the internal standard. Data are reported as follows: chemical shift, multiplicity (s = singlet, d = doublet, t = triplet, q = quartet, b = broad, m = multiplet), integration, coupling constant (Hz). Low resolution mass spectra were obtained on an Agilent 6120 or 6150 with ESI source. MS parameters were as follows: fragmentor: 70, capillary voltage: 3000 V, nebulizer pressure: 30 psig, drying gas flow: 13 L/min, drying gas temperature: 350 °C. Samples were introduced via an Agilent 1290 UHPLC comprised of a G4220A binary pump, G4226A ALS, G1316C TCC, and G4212A DAD with ULD flow cell. UV absorption was generally observed at 215 nm and 254 nm with a 4 nm bandwidth. Column: Waters Acquity BEH C18, 1.0 x 50 mm, 1.7 um. Gradient conditions: 5% to 95% MECN in H2O (0.1% TFA) over 1.4 min, hold at 95% MECN for 0.1 min, 0.5 mL/min, 55 °C. All reagents were purchased from Aldrich Chemical Co. and were used without purification. Sure-Seal solvents were purchased from Sigma Aldrich.



2-(benzo[d]thiazol-2-yl)-5-methoxyphenol (2.12).

To a solution of 2-hydroxy-4-methoxybenzaldehyde (1 mmol), 2-aminobenzenethiol (1 mmol) and AgNO₃ (0.01 mmol) in DMSO (3 mL) were added and the resulting mixture was stirred at rt for 4 h. The mixture was diluted with DCM, and washed with an excess of brine thrice. Organic layers were combined, dried over MgSO₄, filtered and concentrated under reduced pressure. Purification of the residue by flash chromatography (hexanes/EtOAc = 3:2) afforded 246 mg of the desired benzothiazole as a white solid in 96% yield. ¹H NMR (400 MHz, MeOD) δ 8.00 – 7.90 (m, 2H), 7.75 – 7.67 (m, 1H), 7.51 (ddd, *J* = 8.3, 7.2, 1.3 Hz, 1H), 7.40 (ddd, *J* = 8.3, 7.3, 1.2 Hz, 1H), 6.62 – 6.54 (m, 2H), 3.85 (s, 3H).¹³C NMR (101 MHz, MeOD) δ 169.00, 163.76, 159.48, 151.85, 132.33, 129.47, 126.38, 124.90, 121.27, 121.14, 110.25, 107.05, 100.93, 54.59. LC-MS [m/z+H] = 312.5. Analytical data are in accordance with the literature.



2-(benzo[d]thiazol-2-yl)-5-methoxyphenyl acrylate (2.13).

To a solution of HMBT **2.12** (0.5 mmol) and Et₃N (2 eq) in DCM (10 mL), acryloyl chloride (1.25 eq, mixed with 4 mL of DCM) was added dropwise at 0 °C. After stirring at 90 min, the mixture was warmed to rt and stirred overnight. The solution was diluted with DCM (30 mL), washed with H₂O thrice, dried over anhydrous MgSO₄ and concentrated under reduced pressure. The crude mixture afforded **2.13** upon crystallization from hexanes/CHCl₃ (20:1) as a pale yellow solid (110 mg, 71% yield). ¹H NMR (400 MHz, CDCl₃) δ 8.00 – 7.90 (m, 2H), 7.75 – 7.67 (m, 1H), 7.51 (ddd, *J* = 8.3, 7.2, 1.3 Hz, 1H), 7.40 (ddd, *J* = 8.3, 7.3, 1.2 Hz, 1H), 6.62 – 6.54 (m, 2H), 3.85 (s, 3H). ¹³C NMR (101 MHz, CDCl₃) δ 149.60, 133.89, 131.52, 131.45, 127.72, 127.68, 126.70, 126.58, 125.40, 125.29, 122.43, 122.31, 121.22, 112.90, 108.87, 55.76, 55.73. LC-MS [m/z+H] = 312.5. Analytical data are in accordance with the literature.



(*R*)-5-oxo-1,4-thiazepane-3-carboxylic acid (2.8).

To a 100 mL flask, **2.13** (0.3 mmol) and L-cysteine (0.375 mmol, 1.25 eq) were combined in 3 mL of DCM, and the mixture stirred at rt for 2 h. Then, Et₃N (80 μ L) was

added and the solution stirred for 40 min. The reaction mixture under reduced pressure and the crude solid was subjected to column chromatography to afford 75 mg of HMBT **2.12** and 39 mg of **2.8** as an off-white solid. ¹H NMR (400 MHz, MeOD) δ 4.54 (dd, *J* = 8.1, 2.0 Hz, 1H), 3.10 (dd, *J* = 14.5, 2.0 Hz, 1H), 3.01 – 2.83 (m, 3H), 2.78 – 2.69 (m, 2H). ¹³C NMR (101 MHz, MeOD) δ 177.15, 170.87, 57.53, 40.65, 33.48, 23.70. LC-MS [m/z+H] = 176.3. Analytical data are in accordance with the literature.

General Synthesis for Benzamides: To a solution of aniline (1.0 eq) in DCM (0.1 M), was added (*R*)-5-oxo-1,4-thiazepane-3-carboxylic acid (1.0 eq), HOBt (1.5 eq), and DIC (1.2 eq) at rt. Reaction progress was monitored by TLC and upon completion, the reaction mixture was washed with water, concentrated, and redissolved in DMSO. The urea by product of DIC is poorly soluble in DMSO and will crash out of solution after 15 min. Crude DMSO solutions were filtered of the solid DIU byproduct and purified using a Gilson HPLC system (30 x 50 mm column; H2O with 0.1% TFA:acetonitrile). Fractions containing the desired product were quenched with saturated NaHCO₃, extracted with 4:1 CHCl₃:IPA, and concentrated to isolate the pure product.



(*R*)-*N*-(3-chlorophenyl)-5-oxo-1,4-thiazepane-3-carboxamide (2.7).

This compound was synthesized according to general procedure and isolated as a white solid, 62% yield. ¹HNMR (400 MHz, DMSO) δ 10.40 (s, 1H), 7.80 (t, J = 2.0 Hz,

1H), 7.48-7.44 (m, 1H), 7.36 (t, J = 8.0 Hz, 1H), 7.17-7.13 (m, 1H), 7.13-7.09 (m, 1H),
4.54-4.48 (m, 1H), 3.03 (dd, J = 14.4, 2.2 Hz, 1H), 2.95 (dd, J = 14.4, 8.2 Hz, 1H), 2.902.73 (m, 3H), 2.72-2.68 (m, 2H); ¹³CNMR (101 MHz, DMSO) δ 174.3, 168.1, 134.0,
133.1, 130.1, 123.5, 119.1, 118.0, 57.8, 40.3, 33.9, 23.5. LC-MS [m/z+H] = 285.5.



(*R*)-*N*-(3-bromo-5-chlorophenyl)-5-oxo-1,4-thiazepane-3-carboxamide (2.20): This compound was synthesized according to general procedure and isolated as a white solid, 37% yield. ¹H NMR (400 MHz, DMSO) δ 10.50 (s, 1H), 7.81 (t, J = 1.8 Hz, 1H), 7.72 (t, J = 1.9 Hz, 1H), 7.45 (t, J = 1.8 Hz, 1H), 7.19 (d, J = 6.7 Hz, 1H), 4.50 (ddd, J = 8.0, 6.6, 2.5 Hz, 1H), 3.04 (dd, J = 14.5, 2.5 Hz, 1H), 2.97 (dd, J = 14.5, 8.0 Hz, 1H), 2.90 – 2.74 (m, 2H), 2.70 (td, J = 4.9, 2.4 Hz, 2H). ¹³C NMR (101 MHz, DMSO) δ 174.77, 168.82, 141.41, 126.05, 122.54, 121.02, 118.53, 58.22, 40.79, 34.09, 23.88. **LC-MS [m/z+H]** = 362.9.

CHAPTER III

PROGRESS TOWARDS THE TOTAL SYNTHESIS OF THIOCLADOSPOLIDE A

Background and Introduction

Natural Products in Antibiotic Drug Discovery

Historically, natural products have been one of the most consistently productive sources for the development of new drugs.¹⁰³ Before the advent of more high-throughput techniques, more than 80% of drug and drug products were natural products or derived from one.¹⁰⁴ This class of drugs includes compounds from plants, microbes, and animals, in addition to semi-synthetic derivatives of such, that vary from anticancer, anti-effective, and anti-diabetic indications.¹⁰³ The use of natural products as a source has diminished in the past two decades as they suffer from several disadvantages: (1) difficulties with access and limited supply (2) complexities of synthesis, (3) inherent slowness in screening and development, and (4) concerns surrounding the rights to intellectual property.¹⁰⁵

However, nearly after a century of unchecked usage of antibiotics since their discovery have led to the emergence of antibiotic-resistant strains of bacteria.^{106, 107} Scientists have now warned for decades the emergence of antibiotic resistance will be one of the greatest public health threats in the coming decades.¹⁰⁸ As the threat of antibiotic resistance grows without the discovery of new therapeutic agents, the world's current antibiotic supply will quickly become irrelevant.¹⁰⁶ Modern combinatorial approaches have not yielded effective antibiotic drugs and research efforts are returning to the use of natural products with proven efficacy.¹⁰⁵

A viable approach for new antibiotic discovery is through bacterial and fungal coculture.¹⁰⁹ It has been shown that "crosstalk" between microorganisms can activate silent gene clusters that lead to novel secondary metabolites with potent biological activities.¹¹⁰ Most secondary metabolites can be grouped into three chemical categories: polyketides derived from acyl-CoAs, terpenes derived from acyl-CoAs and small peptides derived from amino acids.¹¹⁰ To create protective and weaponized natural products, secondary metabolism typically occurs in instances of stress.¹¹¹ This class of molecules have natural antibiotic activities to help fungi remain competitive in highly competitive ecological systems. In fact, the magnitude of biosynthetic genes within fungal genomes suggest that fungal secondary metabolite natural products are a largely untapped resource for drug discovery.¹¹²

Sulfur-containing Macrolides and the Discovery of the Thiocladospolides



Figure 3.1: Structures of thio-adduct natural products Berkleylactone A and Sumalarians A and C.

A small class of potent, biologically relevant natural products are sulfur-

containing metabolites from fungal sources. These compounds are frequently isolated

from marine organisms as sulfur-containing molecules, including cysteine, are abundant in seawater. Isolated sulfur containing metabolites like Berkleylactone A (**3.1**) and the Sumalarians A-C (**3.2** and **3.3**), have established antibiotic activity against antibioticresistant strains, in addition to other anti-inflammatory and anticancer activities (**Figure 3.1**).^{113, 114} These interesting marine natural products carry the potential to be novel antibiotics that address the predicted influx of hospital-acquired antibiotic-resistant infections in the coming decades.





As part of the continuing research on discovery of marine natural products with potent biological activities, the EtOAc-soluble media extract of the mangrove-derived fungal strain *Cladosporium cladosporioides* (MA-299) provided four new sulfur-

containing 12-membered macrolides, thiocladospolides A–D (**3.4**, **3.6**, **3.7**, **3.8**), each having a sulfur substitution at C-2 (**Figure 3.2**). Thiocladospolides A-D were isolated together with the related known analogue Pandangolide 3 (**3.5**) and the possible hydrolysis product (**3.9**), and were surveyed for biological activity.^{115, 116}

Table 3.1: Reported	Antimicrobial Activit	ies of Compounds	s 3.4 , 3.6 ,	3.7 , 3.8	and 3.9
(MIC, µg/mL) ^{a,116}					

	Compound No					
Strain	3.4	3.6	3.7	3.8	3.9	Positive control
E. tarda ^b	1	_	_	_	_	0.5
E. ictarda ^b	8	_	_	1	_	0.5
C. glecosporioides ^c	2	2	1	1	2	0.5
B. sorokiniana ^c	_	_	_	_	8	0.5
P. piricola Nose ^c	_	32	32	32	_	2.0
F. oxysporum f. sp.cucumerinum ^c	_	1	32	1	_	0.5

 $a(-) = MIC > 32 \mu g/mL.$

^bChloramphenicol as positive control.

^cAmphotericin B as positive control.

The antimicrobial activities of **3.4**, **3.6**, **3.7**, **3.8** and **3.9** against 10 aquatic pathogens and one human pathogenic bacterium as well as 15 plant pathogenic fungi were tested. All tested compounds displayed activity against the plant pathogen *Colletotrichum glecosporioides* with MIC values of 1 or 2 µg/mL (**Table 3.1**).¹¹⁶ Compounds **3.4** and **3.6** showed potent inhibitory activity against the aquatic pathogens *Edwardsiella tarda* and *E. ictarda*, respectively, each with an MIC value of 1 µg/mL, while compounds **3.6** and **3.8** demonstrated activities against Fusarium oxysporum f. sp. cucumerinum, each with MIC values of 1 µg/mL. The closely related Pandangolides 2, 3, and 4 are known to be inactive against other Gram-positive and Gram-negative bacteria. While the thiocladospolides and similar compounds such as Berkeleylactone A exhibit activity against Gram-positive and Gram-negative bacteria.¹¹⁶ The above data indicates that sulfur substitution may drive the potent antibiotic nature of these macrolides.

Sulfur-substituted macrolides are likely fungal biotransformation products resulting from the long cultivation time or detoxification products under stressful environmental conditions. It is easy to envision that the thiocladospolides are 1,4 thiomichael adducts of patulolide A (**3.10**) with a cysteine derivative (**3.11**, **Figure 3.3**). While these small molecules are potent antibiotics, their extraction from their fungal source is costly and difficult (~8mg/100L over 50 days). Considering the potential therapeutic potential, attractive molecular structure, and lack of existing syntheses, a concise synthesis of the Thiocladospolide A has been planned.


Figure 3.3: Retrosynthetic analysis of Thiocladospolide A.

The retrosynthesis was planned in an effort to be synthetically different than published syntheses of closely-related patulolide A, prioritizing yield, step-count, and accessibility to unnatural analogs (**Figure 3.3**).¹¹⁷⁻¹²⁰ Our synthetic plan was dependent on a final, diastereoselective sulfa-Michael addition, in which the macrolide substrate controls the formation of the stereogenic center at C-2.^{113, 121} Formation of the 12-membered macrocyclic lactone should be accessed one-pot via a ring closing

metathesis (RCM) followed by chemoselective reduction of the non-conjugated double bond.¹²² The necessary linear diene **3.12**, could be accessed via an esterification between enantiopure hexenol **3.13** and 4-oxo-2-alkeneoic acid **3.14**.¹²³ It is easy to envision how (*R*)-2-methyloxirane could be opened with allylmagnesium bromide to yield the desired alcohol **3.13**.¹²⁴ Our synthetic efforts began with the synthesis of **3.14** via a furan oxidation strategy.



Scheme 3.1: Furan oxidation strategy via Achmotawicz chemistry to yield 4-oxo-2-alkeneoic acid **3.14**.

Freshly distilled furan was subjected to basic conditions with 4-bromobutene to give alkyl furan **3.15** in moderate yields. Conversion of the 2-substituted furan to the 4-oxo-2-alkenal was attempted using Achmatowicz reaction conditions.¹¹³ While starting material was consumed, the desired product was volatile and difficult to isolate. Additionally, several products formed, and proved to be and unfavorable approach. Thus, we attempted to develop a method in which we could directly oxidize the alkyl furan to the 4-oxo-2-alkenoic acid, based on a literature procedure.¹²⁵



Scheme 3.2: Direct furan oxidation strategy to yield 4-oxo-2-alkeneoic acid 3.14.

Furan **3.15** was subjected to Pinnick oxidation-like conditions with sodium chlorite in slightly acidic media. This reaction proceeds through a 2-alkyl-2-hydroxy-butenolide intermediate, a ring tautomer of 4-oxo-2-alkenoic acids. The published procedure detailed that stereoselective conversion of the alkyl butenolides intermediate into the 4-oxo-2(*E*)-alkenoic acid is accomplished with a catalytic amount of pyridine in 2 hours at room temperature.¹²⁵ However, with our substrate, we found that the reaction stalled at the butenolide and did not undergo ring opening even with excess pyridine, longer reaction times, and increased temperature.



Scheme 3.3: Modified Horner-Wadsworth-Emmons with glyoxylic acid monohydrate generates 4-oxo-2-(*E*)alkenoic acids stereoselectively in excellent yields.

Abandoning our furan oxidation strategy, we then attempted to directly access the needed 4-oxo-2-alkenoic acids via a modified Horner-Wadsworth-Emmons (HWE) reaction with glyoxylic acid monohydrate and the corresponding β -ketophosphonate (Scheme 3.3).¹²⁶ The β -ketophosphonate was obtained by generating the lithium anion of dimethyl methylphosphonate (DMMP) with *n*-BuLi followed by addition of commercially available benzyl 4-pentenoate in moderate yield. We were pleased to find that the β -ketophosphonate with a pre-dried solution of glyoxylic acid monohydrate smoothly converted to the 4-oxo-2(*E*)-alkenoic acid in excellent yields.

While we were excited to generate **3.14**, the above method generated the β ketophosphonate **3.19** in moderate yields, with side products, and was difficult to purify from the excess DMMP. With room for improvement, we pursued an efficient and chemoselective method for the generation of β -ketophosphonates based on the Claisen condensation of the lithiated α -(trimethylsilyl)methylphosphonate with activated esters.¹²⁷ This reaction takes advantage of the α -effect of the silicon and the steric hindrance of the trimethylsilyl group, thereby increasing the chemoselectivity of the transformation. We found that using the pentafluorophenyl ester **3.20** with the mild lithiated phosphonate species seen in **Scheme 3.4**, we could access the β ketophosphonate **3.19** in excellent yield.



Scheme 3.4: Improved generation of β -ketophosphonate 3.19 based on the Claisen condensation of lithiated α -(trimethylsilyl)methylphosphonate with our activated ester 3.20.



Scheme 3.5: Steglich esterification conditions yielded **3.12**, which failed to undergo RCM.

With our desired electrophile in hand, opening of (*R*)-2-methyloxirane with allylmagnesium bromide yielded the desired alcohol **3.13** in excellent yield. Steglich esterification conditions provided diene **3.12** in high yields. However, we faced several challenges when attempting the RCM with **3.12**. Regardless of catalyst, reaction concentration, temperature, or length of reaction, we saw consumption of the starting material without formation of the desired product. We hypothesized that the final product was far too volatile to be able to deal with routinely for our desired medicinal chemistry efforts, and thus we abandoned our original synthetic route.

Conclusions and Future Directions

At this point, synthetic efforts have been offered to Jacob Kalbfleisch. A new synthetic route has been designed (**Figure 3.3**), that should prove to be successful based on successful preliminary experiments on model substrates, but have not been fully explored due to time restrictions. It is envisioned that using the activated ester **3.21** could undergo a cross metathesis with alcohol **3.13** to furnish **3.22**, inspired by

established chemistry with a similar substrate. Activated ester **3.20** could be readily converted to the oxo-alkenoic acid **3.9** with the chemistry disclosed previously. It is then envisioned that a stereoselective thio-Michael addition using the organocatalyst quinine would provide enantiopure thioether **3.23**, which would provide thiocladospolide A via macrolactonization conditions. Test reactions with a thionucleophine and linear ester **3.12** proved this approach provides the thioether readily, and can be stereocontrolled with the use of both quinine stereoisomers.¹²⁸ Protection strategies will likely be necessary to pursue this route, however, we believe this is the most robust route for the synthesis of Thiocladospolide A.



Figure 3.4: Proposed synthetic route to Thiocladospolide A **3.4**, which addresses previous challenges.

Experimental Methods

General Synthetic Methods and Instrumentation

Unless otherwise stated, all reactions were conducted in flame-dried or ovendried glassware under inert atmospheres of argon. All commercially available reagents and reaction solvents were used as received, unless otherwise noted. Thin layer chromatography (TLC) was performed on glass-backed silica of 250 μ M thickness. Visualization was accomplished with UV light and/or the use of bromocresol green stain. Chromatography on silica gel was performed using Teledyne ISCO pre-packed silica gel columns using gradients of EtOAc/hexanes. ¹H and ¹³C chemical shifts are reported in δ values in ppm downfield with the deuterated solvent as the internal standard. Data are reported as follows: chemical shift, multiplicity (s = singlet, d = doublet, t = triplet, q = quartet, b = broad, m = multiplet), integration, coupling constant (Hz). Low resolution mass spectra were obtained on an Agilent 6120 or 6150 with ESI source. MS parameters were as follows: fragmentor: 70, capillary voltage: 3000 V, nebulizer pressure: 30 psig, drying gas flow: 13 L/min, drying gas temperature: 350 °C. Samples were introduced via an Agilent 1290 UHPLC comprised of a G4220A binary pump, G4226A ALS, G1316C TCC, and G4212A DAD with ULD flow cell. UV absorption was generally observed at 215 nm and 254 nm with a 4 nm bandwidth. Column: Waters Acquity BEH C18, 1.0 x 50 mm, 1.7 um. Gradient conditions: 5% to 95% MECN in H2O (0.1% TFA) over 1.4 min, hold at 95% MECN for 0.1 min, 0.5 mL/min, 55 °C. All reagents were purchased from Aldrich Chemical Co. and were used without purification. Sure-Seal solvents were purchased from Sigma Aldrich.



Synthesis of benzyl pent-4-enoate.

To a solution of pent-4-enoic acid (10.0 g, 99.9 mmol) and benzyl alcohol (14.1 g, 129 mmol, 1.3 equiv) in DCM (300 mL) at 0 °C were added DCC (30.7 g, 149 mmol, 1.5 equiv) and DMAP (61 mg, 19.8 mmol, 0.2 equiv). The mixture was stirred at rt for 1 h, after which a saturated solution of NH₄Cl was added. The mixture was extracted with EtOAc thrice, and the combined organic extracts were dried over MgSO₄, filtered, and concentrated under reduced pressure. Purification of the residue by flash chromatography (hexanes/EtOAc = 98:2) afforded of the desired ester as a clear oil in 82% yield. ¹H NMR (400 MHz, CDCI₃) δ 5.86 (ddt, *J* = 16.5, 10.2, 6.2 Hz, 1H), 5.12 – 4.99 (m, 2H), 2.54 – 2.47 (m, 2H), 2.47 – 2.39 (m, 2H). ¹³C NMR (101 MHz, MeOD) δ 172.97, 136.47, 136.16, 128.07, 127.77, 127.71, 114.48, 65.75, 33.00, 28.51. Rf = 0.9 (5% EtOAc/hexanes). Analytical data are in accordance with the literature.

Synthesis of dimethyl (2-oxohex-5-en-1-yl)phosphonate (3.20).

Procedure 1: benzyl pent-4-enoate (0.6 g, 3.15 mmol) and dimethyl methylphosphonate (0.60 ml, 2.3 equiv, 5.6 mmol) in THF (30 ml) were added was

stirred and cooled to 0 °C (ice bath), and a freshly generated solution of LDA (1.42M in THF, 0.9 g, 7.3 mmol, 3.5 equiv) was added dropwise. The resulting mixture was stirred for 60 min at 0 °C, a saturated solution of NH₄Cl was added to quench, and the aqueous layer was extracted with DCM thrice. The combined organic extracts were dried over MgSO₄, filtered, and concentrated under reduced pressure. Purification of the residue by flash chromatography (hexanes/EtOAc = 4:1) afforded 629 mg of the desired phosphonate as a clear oil in 96% yield.

Procedure 2: To a solution of *n*-BuLi (2.6 mL, 2.5 M in hexanes, 6.6 mmol, 2.2 equiv) in THF (1.5 mL) at -78 °C was added α-(trimethylsilyl)methylphosphonate (750 µL, 6.9 mmol, 2.3 equiv). After 30 min at -78 °C, a solution of activated ester (0.79 g, 3.0 mmol, 1 equiv) in THF (30 mL) was added dropwise. The reaction mixture was stirred for 30 min at -78 °C, and a saturated solution of NH₄Cl was added. The mixture was extracted with EtOAc, and the combined organic extracts were dried over MgSO₄, filtered, and concentrated under reduced pressure. Purification of the residue by flash chromatography (hexanes/EtOAc = 4:1) afforded 606 mg of the desired ester as a clear oil in 98% yield. ¹H NMR (400 MHz, CDCI₃) δ 5.81 (ddt, *J* = 16.9, 10.2, 6.5 Hz, 1H), 5.10 - 4.94 (m, 2H), 3.80 (d, *J* = 11.2 Hz, 7H), 3.11 (d, *J* = 22.7 Hz, 2H), 2.74 (t, *J* = 7.3 Hz, 2H), 2.35 (q, *J* = 6.9 Hz, 2H). ¹³C NMR (101 MHz, MeOD) δ 201.37, 136.68, 114.21, 52.31 (d, *J*_{CP} = 6.6 Hz), 42.59 (d, *J*_{CP} = 1.3 Hz), 39.97 (d, *J*_{CP} = 6.6 Hz), 26.91. **Rf = 0.32** (90% EtOAc/hexanes), stains teal with bromocresol green. Analytical data are in accordance with the literature.



Synthesis of (E)-4-oxoocta-2,7-dienoic acid (3.14).

A flask was charged with dimethyl (2-oxohex-5-en-1-yl)phosphonate (0.41 g, 2 mmol) and 0.33 M solution of glyoxylic acid monohydrate in MeCN (15 ml, 5 mmol, 1.5 equiv), stored for 24 h over 4 Å molecular sieves. The mixture was stirred and cooled to 0 °C (ice bath), DBU (0.800 g, 5.2 mmol, 2.6 equiv) was added dropwise over a period of 30 min. The solution was stirred for an additional 1 h at the same temperature. The mixture was quenched with 1M HCl, the aqueous layer was extracted with EtOAc thrice, the combined organics were dried over Na₂SO₄, filtered and concentrated. Purification of the residue by flash chromatography (hexanes/EtOAc = 1:3) afforded 190 mg of the desired acid as a pale yellow solid in 62% yield. ¹H NMR (400 MHz, CDCI3) δ 7.17 (d, J = 16.0 Hz, 1H), 6.71 (d, J = 16.0 Hz, 1H), 5.85 (ddt, J = 16.8, 10.1, 6.5 Hz, 1H), 5.21 – 4.76 (m, 2H), 2.79 (t, J = 7.3 Hz, 2H), 2.49 – 2.34 (m, 2H). ¹³C NMR (101 MHz, CDCI₃) δ 198.48, 169.85, 140.94, 136.28, 129.52, 115.73, 40.70, 27.37. Rf = 0.35 (100% EtOAc), stains yellow with bromocresol green. Analytical data are in accordance with the literature.

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Synthesis of (*R*)-hex-5-en-2-ol (3.13).

To a solution of (*R*)-2-methyloxirane (1 g, 17.2 mmol) in diethyl ether (200 mL) at -78 °C was added allylmagnesium bromide (1M in hexanes, 17.2 mL, 1 equiv.), dropwise. The reaction mixture was stirred for 12 h at -78 °C, and a saturated solution of NH₄Cl was added. The mixture was extracted with diethyl ether thrice, and the combined organic extracts were dried over MgSO₄, filtered, and concentrated under reduced pressure with great care to afford 1.3 g of the desired ester as a clear oil in 76% yield. ¹H NMR (400 MHz, MeOD) δ 5.85 (ddt, J = 16.9, 10.2, 6.7 Hz, 1H), 5.12 – 4.91 (m, 2H), 3.78 – 3.69 (m, 1H), 2.25 – 2.05 (m, 2H), 1.62 – 1.42 (m, 2H), 1.18 (d, J = 6.2 Hz, 3H). ¹³C NMR (101 MHz, MeOD) δ 138.26, 113.42, 66.46, 37.94, 29.69, 22.01. Rf = 0.85 (5% EtOAc), stains with Seebach's stain. Analytical data are in accordance with the literature.

Synthesis of (S)-hex-5-en-2-yl (E)-4-oxoocta-2,7-dienoate (3.12).

(*E*)-4-oxoocta-2,7-dienoic acid (1.5 mmol; 231 mg) was dissolved in DCM (15 mL), and the mixture was cooled to 0 °C. DCC (1.2 equiv; 1.8 mmol; 370 mg), (*R*)-hex-5-en-2-ol (1.1 equiv; 1.63 mmol; 164 mg), and DMAP (0.1 equiv; 0.15 mmol; 14 mg) were added

to the reaction mixture, which was stirred at 0 °C for 30 min and at rt for 2 h. Reaction mixture was filtered of the urea byproduct, concentration and purified by flash chromatography (hexanes/EtOAc = 4:1) which afforded 348 mg of the desired ester as a clear oil in 98% yield.¹H NMR (400 MHz, MeOD) δ 6.92 (d, J = 16.1 Hz, 1H), 6.59 (d, J = 16.1 Hz, 1H), 5.73 (dtt, J = 16.8, 10.2, 6.6 Hz, 2H), 4.98 – 4.82 (m, 6H), 2.71 (t, J = 7.3 Hz, 2H), 2.25 (q, J = 7.0 Hz, 2H), 2.06 – 1.96 (m, 2H), 1.73 – 1.49 (m, 2H), 1.18 (d, J = 6.3 Hz, 3H). Rf = 0.85 (5% EtOAc),



Synthesis of (S)-2-hydroxy-3-(tritylthio)propanoic acid (3.#).

Triphenylmethanethiol (2.75 g, 10 mmol) was dissolved in THF (40 mL) and the reaction mixture was cooled to 0 °C. NaH (60% dispersion in mineral oil; 400 mg, 10 mmol) was added portionwise, and the resulting solution was stirred at 0 °C for an additional 15 min. Potassium (*R*)-oxirane-2-carboxylate 11 (1 g; 7.5 mmol) was added in one portion, and the resulting reaction mixture was then gradually warmed to RT, stirred for 14 h before being poured into H₂O (250 mL), and extracted with Et₂O thrice. The Et₂O layer was discarded, and the aqueous phase was acidified by 1 N HCl to pH 3 and was extracted with EtOAc thrice. The combined EtOAc layers were washed with brine, dried over anhydrous MgSO₄, and concentrated to provide the crude product as a pale yellow viscous oil, which was crystallized from acetonitrile, affording (*R*)-2-hydroxy-3- (tritylthio)propanoic acid (1.98 g, 72%) as a white solid. ¹H NMR (400 MHz, MeOD) δ 5.85 (ddt, J = 16.9, 10.2, 6.7 Hz, 1H), 5.12 – 4.91 (m, 2H), 3.78 – 3.69 (m, 1H), 2.25 –

2.05 (m, 2H), 1.62 – 1.42 (m, 2H), 1.18 (d, J = 6.2 Hz, 3H). ¹³**C NMR (101 MHz, MeOD)** δ 138.26, 113.42, 66.46, 37.94, 29.69, 22.01. **Rf** = 0.85 (5% EtOAc), stains with Seebach's stain. Analytical data are in accordance with the literature.

Synthesis of methyl (S)-2-hydroxy-3-mercaptopropanoate (3.#).

(S)-2-hydroxy-3-(tritylthio)propanoic acid (4 mmol; 1.5 g) was dissolved in DCM (24 mL), and triethylsilane (3 equiv; 12 mmol; 2.0 mL) was added in one portion. The reaction mixture was cooled to 0 °C, and trifluoroacetic acid (2.4 mL) was added dropwise. After 30 min, the reaction mixture was concentrated under reduced pressure, resulting in a white solid. The crude product was washed 4 times with hexanes to remove triphenylmethane and dried under reduced pressure, yielding (S)-2-hydroxy-3mercaptopropanoic acid (436 mg, 85%) of as a white solid. The crude acid was taken up in MeOH (40 mL) and HCI (400 µL), and allowed to stir for 24 h. The reaction mixture was concentrated under reduced pressure, and the resulting residue taken up in hot DCM, which afforded 474 mgs of methyl (S)-2-hydroxy-3-mercaptopropanoate in quantitative yields. ¹H NMR (400 MHz, MeOD) δ 5.85 (ddt, J = 16.9, 10.2, 6.7 Hz, 1H), 5.12 – 4.91 (m, 2H), 3.78 – 3.69 (m, 1H), 2.25 – 2.05 (m, 2H), 1.62 – 1.42 (m, 2H), 1.18 (d, J = 6.2 Hz, 3H). ¹³C NMR (101 MHz, MeOD) δ 138.26, 113.42, 66.46, 37.94, 29.69, 22.01. Rf = 0.85 (5% EtOAc), stains with Seebach's stain. Analytical data are in accordance with the literature.



Synthesis of perfluorophenyl pent-4-enoate (3.21).

To a solution of pent-4-enoic acid (305 mg, 2.50 mmol) and pentafluorophenol (506 mg, 2.75 mmol, 1.1 equiv) in DCM (12 mL) at 0 °C were added DCC (719 mg, 3.75 mmol, 1.5 equiv) and DMAP (61 mg, 0.5 mmol, 0.2 equiv). The mixture was stirred at rt for 1 h, and a saturated solution of NH₄Cl was added. The mixture was extracted with EtOAc, and the combined organic extracts were dried over MgSO₄, filtered, and concentrated under reduced pressure. Purification of the residue by flash chromatography (hexanes/EtOAc = 98:2) afforded 709 mg of the desired ester as a white solid. ¹H NMR (400 MHz, CDCI₃) δ 5.80 (ddt, *J* = 16.8, 10.2, 6.4 Hz, 1H), 5.16 – 4.92 (m, 2H), 2.70 (t, *J* = 7.4 Hz, 2H), 2.55 – 2.33 (m, 2H). ¹³C NMR (101 MHz, MeOD) δ 172.97, 136.47, 127.71, 114.54, 65.75, 33.00, 28.51. **Rf** = 0.85 (5% EtOAc).



Synthesis of α -(trimethylsilyl)methylphosphonate.

To a solution of n-BuLi (2.6 mL, 2.5 M in hexanes, 6.6 mmol, 2.2 equiv) in THF (15 mL) at -78 °C was added DMMP (750 µL, 6.9 mmol, 2.3 equiv). After 30 min at -78 °C, a solution of TMSCI (3.0 mmol, 1 equiv) in THF (15 mL) was added dropwise. The reaction mixture was stirred for 30 min at -78 °C, and a saturated solution of NH₄CI was added. The mixture was extracted with EtOAc, and the combined organic extracts were

dried over MgSO₄, filtered, and concentrated under reduced pressure to afford 1.08 g of the desired α -(trimethylsilyl)methylphosphonate as a clear oil in 82% yield. ¹H NMR (400 MHz, CDCI₃) δ 3.54 (d, *J* = 11.1 Hz, 6H), 0.99 (d, *J* = 22.1 Hz, 2H), 0.00 (d, *J* = 12.5 Hz, 10H). ¹³C NMR (101 MHz, CDCI₃) δ 51.76, 13.91, 12.63, -0.61.

Appendix A

Relevant Spectra to Chapter I



























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Appendix B

Relevant Spectra to Chapter II
















Appendix C

Relevant Spectra to Chapter III

















Solution of the second second









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Brain-Penetrant Chemical Series of Positive Allosteric Modulators of Metabotropic
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American Chemical Society **2016**, 138 (29), 9041-9044.

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EDUCATION

Vanderbilt University, Nashville, TN Doctorate of Philosophy, Chemistry, GPA: 3.88

Defense: November 24th 2020

The University of Kansas, *Lawrence, KS* Master of Science, Medicinal Chemistry, GPA: 3.88 August 2017

University of Saint Joseph, West Hartford, CT Bachelor of Science, Chemistry and Biology, GPA: 3.63

May 2015

RESEARCH EXPERIENCE

Sanofi, Integrated Drug Discovery, Waltham, MA

Scientist, Medicinal Chemistry, November 2020 - Present

Vanderbilt University, Department of Chemistry, Nashville, TN

Advisor: Craig Lindsley, Ph.D.

Graduate Research Assistant, June 2019 – November 2020

Total syntheses of Thiocladospolide analogues (natural and unnatural) as antibiotic agents, development of chemical methods to generate imidazo[1,2-a]pyridines, and the synthesis of small molecule allosteric modulators of GPCRs with therapeutic relevance.

Vanderbilt Center for Neuroscience Drug Discovery, Nashville, TN

Advisor: Bruce Melancon, Ph.D. and Craig Lindsley, Ph.D. Research Assistant I, February 2019 – June 2019 Development of allosteric modulators of GPCRs with therapeutic relevance.

University of Notre Dame, Department of Chemistry and Biochemistry, South Bend, IN

Department of Medicinal Chemistry, The University of Kansas, Lawrence, KS

Advisor: Brian S.J. Blagg, Ph.D.

Graduate Research Assistant, August 2015 – January 2019

Design, synthesis, and biological evaluation of isoform-selective inhibitors of the Hsp90 N-terminus via structureguided design, and the evaluation these tool compounds at the genomic and proteomic level to determine their therapeutic potential.

Department of Biology, University of Saint Joseph, West Hartford, CT.

Advisor: Irene G. Reed, Ph.D.

Undergraduate Researcher,

Research supports a hypothesis in which estrogen-dependent endometrial cancer undergoes epithelial-tomesenchymal transition to suppress expression of the estrogen receptor, but remain estrogen-responsive via GPCR30.

PUBLICATIONS

5. Kent CN, Park C, Lindsley CW. "Classics in Chemical Neuroscience: Baclofen." ACS Chemical Neuroscience (2020).

4. Que NL, Crowley VM, Duerfeldt AS, Zhao J, **Kent CN**, Blagg BS, Gewirth DT. Structure based design of a Grp94-selective inhibitor: exploiting a key residue in Grp94 to optimize paralog-selective binding. Journal of Medicinal Chemistry. 2018 Mar 12;61(7):2793-805. doi:10.1021/acs.jmedchem.7b01608

3. Khandelwal A, **Kent CN**, Balch M, Peng S, Mishra SJ, Deng J, Day VW, Liu W, Subramanian C, Cohen M, Holzbeierlein JM. Structure-guided design of an Hsp90beta N-terminal isoform-selective inhibitor. Nature Communications. 2018 Jan;9. doi:10.1038/s41467-017-02013-1

2. Byrd KM, **Kent CN**, Blagg BS. Synthesis and Biological Evaluation of Stilbene Analogues as Hsp90 C-Terminal Inhibitors. ChemMedChem. 2017 Dec 19;12(24):2022-9. doi:10.1002/cmdc.201700630

1. Kent CN, Reed IG. Regulation of epithelial–mesenchymal transition in endometrial cancer: connecting PI3K, estrogen signaling, and microRNAs. Clinical and Translational Oncology. 2016 Nov;18(11):1056-61. doi:10.1007/s12094-016-1492-2

PATENTS

1. Blagg, Brian S. J.; Kent, Caitlin N.; Khandelwal, Anuj; Mishra, Sanket J. HSP90B N-TERMINAL ISOFORM SELECTIVE INHIBITORS, US Patent App. 16/477, 398, 2019.

PRESENTATIONS

8. **Caitlin N. Kent**, Sanket J. Mishra, Brian S.J. Blagg. Development and Characterization of Hsp90β Nterminal Isoformselective Inhibitors. Poster, International Hsp90 Symposium, Leysin, Switzerland, Oct 2018.

7. Caitlin N. Kent. Development of Hsp90 N-terminal Isoform-selective Inhibitors. Oral Presentation, University of Notre Dame, March 2018.

6. **Caitlin N. Kent**. Development of Hsp90 N-terminal Isoform-selective Inhibitors. Oral Presentation, Chemical Biology Training Grant Symposium, The University of Kansas, March 2017.

5. **Caitlin N. Kent**. Sanket J. Mishra, Brian S.J. Blagg. Development and Characterization of Hsp90β Nterminal Isoformselective Inhibitors. Poster, Chemical Biology Training Grant Symposium, The University of Kansas, March 2017.

4. **Caitlin N. Kent**. Regulation of Epithelial-Mesenchymal Transition in Endometrial Cancer. Oral Presentation, Beta Beta Biological Honors Society Regional Conference, West Hartford, CT, May 2015.

3. **Caitlin N. Kent**. Regulation of Epithelial-Mesenchymal Transition in Endometrial Cancer. Oral Presentation, University of Saint Joseph Symposium Day, West Hartford, CT. May 2015.

2. **Caitlin N. Kent**. Pd-Catalyzed Amination of Arylhalides towards Efficient Synthesis of Sterically Demanding N-Arylpiperazines. Oral Presentation, University of St. Joseph Symposium Day, West Hartford, CT. May 2014.

1. **Caitlin N. Kent,** Steven W. Goldstein, Ola Ghoneim. Pd-Catalyzed Amination of Arylhalides towards Efficient Synthesis of Sterically Demanding N-Arylpiperazines. Poster, American Chemical Society North Eastern Regional Meeting, New Haven, CT, Oct 2013.

HONORS AND FELLOWSHIPS

July 2018 – Feb 2019 Chemical Biology and Biochemistry Fellow, NIH T32, Notre Dame, IN
July 2016 – July 2017 Dynamic Aspects of Chemical Biology Fellow, NIH T32, Lawrence, KS
Aug 2015 – May 2016 University Graduate Fellow, University of Kansas, Lawrence, KS
Aug 2015 – May 2016 Edward E. Smissman Fellow, University of Kansas, Lawrence, KS
May 2015 Frank G. Brooks Award, TriBeta National Biological Honors Society, NE Regional Section

First place oral presentation, New England Regional Conference

May 2015 Founder's Award, Research in Biological Sciences, University of Saint Joseph, West Hartford, CT
May 2014 Leadership in Biology Award, University of Saint Joseph, West Hartford, CT

May 2014 Outstanding Performance in Chemistry Award, ACS Connecticut Valley Section

INVITED SPEAKING ENGAGEMENTS

President's Circle Impact Lectures, University of Notre Dame, February 2018. <u>https://www.youtube.com/watch?v=PdZ0s0gBvWM</u> University of Saint Joseph Gala, The Sky's the Limit, July 2015. <u>https://www.youtube.com/watch?v=XBKpXue6RLs</u> University of Saint Joseph, Undergraduate Commencement Speaker, May 2015.

RELEVANT LEADERSHIP POSITIONS

2018-2019 Association of Women in Science

• Treasurer, University of Notre Dame, South Bend, IN.

2016-2017 Graduate Student Department Representative,

• Department of Medicinal Chemistry, The University of Kansas, Lawrence, KS.

2014-2015 Gamma Sigma Epsilon Chemistry Honors Society

• Founding Vice President, University of Saint Joseph, West Hartford, CT.

2012-2015 Beta Beta Beta Biology Honors Society

• Member, President, Vice President University of Saint Joseph, West Hartford, CT.

2012-2015 Center for Academic Excellence

• Chemistry Content Tutor, University of Saint Joseph, West Hartford, CT.

AFFILIATIONS

2014-Present American Chemical Society MEDI Division

2018-Present Association of Women in Science